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FORMAT 7 OR 9 FOR FULLTEXT)

XT:

...instrument transactions. "We need a product that fits perfectly."

He adds that he always gets **better pricing** from OTC dealers because he is able to comparison shop among them.

The One-Stop...

...the exchange's largely investment-bank membership is deadset against a move to clearing OTC **products**. **Trading** in U.S. **Treasury** bills, notes, bonds and repurchase agreements is slated to begin later this year, but trading...

23/3,K/32 (Item 3 from file: 13)
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1060426 Supplier Number: 01108805 (USE FORMAT 7 OR 9 FOR FULLTEXT)

Derivatives secrets

(Financial executives are continuing to find benefits in the expanded use of derivatives)

Article Author(s): Leander, Ellen
Treasury & Risk Management, v 7, n 5, p 20-25
July 1997

DOCUMENT TYPE: Journal ISSN: 1067-0432 (United States)
LANGUAGE: English RECORD TYPE: Fulltext; Abstract
WORD COUNT: 3486

(USE FORMAT 7 OR 9 FOR FULLTEXT)

TEXT:

...Greater disclosure	17.9	38.5
More assistance from financial institution	16.7	25.6
Better pricing	15.7	35.9
Lower costs	12.4	28.2
a Based on derivatives non...		

...with his insurer that would cover more traditional areas like catastrophic as well as more **financial** risks, like foreign **exchange** (see page 26).

Does Your **Treasury** Department Operate As A Profit Center?

Yes
1993 20.0%
1995 10.0%

1997 7...years ago, respondents said they would need greater disclosure from financial institutions (38.5%) and **better pricing** (35.9%) from those vendors. In contrast, today a full 34.5% checked the "other..."

23/3,K/33 (Item 1 from file: 75)
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00228152 SUPPLIER NUMBER: 58386291 (USE FORMAT 7 FOR FULL TEXT)
Nasdaq and The Chicago Stock Exchange: An Analysis of Multiple Market

English RECORD TYPE: Abstract Fulltext
02382

FORMAT 7 OR 9 FOR FULLTEXT)
important factor behind the establishment of an organized interbank
for collateralized lending based on **treasury** bills.
Derivative **instruments**
The official **trading** place for futures and options on Danish
government bonds is the CSE. Contracts that are...for government deficits
were disappointing, but suggest that they may be subject to some downward
revision .
Although consumer **price** inflation has rebounded from the low
recorded in mid-1993, inflation is still modest by...

23/3,K/10 (Item 1 from file: 625)
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0243311

* Comment: Measure Performance Like an Investor
American Banker - November 5, 1999; Pg. 4; Vol. 164, No. 214
DOCUMENT TYPE: Journal LANGUAGE: English RECORD TYPE: Fulltext
WORD COUNT: 1,097

BYLINE:
By GEOFFREY NICHOLSON, HANS WEISS, AND DANIEL STELTER

TEXT:

...peers.

Those firms that can sustain a position in the top tier will command a
better price for their shares: a powerful currency for acquisitions in
a
consolidating industry.
A high and...

...fact making
little or no economic profit.

That insight persuaded the bank to securitize and **sell** off many
treasury assets, freeing up capital for **investment** in more productive
parts
of its business.

Banks should adopt certain fundamental approaches as they...

23/3,K/15 (Item 6 from file: 625)
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0010963

Options Trading on Treasury Securities Begins Oct. 22: Market Will Be
in Actual Cash Instruments , Not Futures
American Banker - October 13, 1982, Wednesday; Pg. 2
WORD COUNT: 1,017

BYLINE:
By JOHN MORRIS

Options Trading on Treasury Securities Begins Oct. 22: Market Will Be
in Actual Cash Instruments , Not Futures

TEXT:

... delivered at set times, and can be cancelled through delivery or netted
out by an **offsetting transaction**, in which case delivery is
But they are traded on margin -- in other

(Item 2 from file: 15)

File 15:ABI/Inform(R)
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01143245 97-92639

ng Kong

Anonymous

AsiaMoney Cash Management Guide Supplement PP: 23 Oct 1995
ISSN: 0958-9309 JRNL CODE: AMF
WORD COUNT: 523

...TEXT: the prospect of lower net interest margins means future profit growth will depend heavily on **improved** efficiency, effective **cost** control, as well as further efforts to diversify into shorter-term financing and non-interest income business. This non-interest income business should take the form of **treasury** and foreign **exchange** operations, brokering, **investment** banking and credit card business. It will also include offering cash management services.

The development...

19/3,K/3

(Item 3 from file: 15)

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01143240 97-92634

Corporate treasury workstations offer instant cash control and higher profits

Anonymous

AsiaMoney Cash Management Guide Supplement PP: 10-13 Oct 1995
ISSN: 0958-9309 JRNL CODE: AMF
WORD COUNT: 2218

...TEXT: spot and forward contracts, as well as the associated payables and receivables. The system handles **offsetting** **trades** and cross-rate deals, and can update spot and forward rates, monitor contract performance and...

...the details of individual trades and the bidding performance by the firm's banks in **current** and outstanding contracts. Information generated in the foreign **exchange** area also flows automatically to other components of the **treasury** workstation

Debt and **investment** management

An integrated **treasury** management system may be essential for a company that manages a large portfolio -- one that...

19/3,K/9

(Item 2 from file: 148)

DIALOG(R) File 148:Gale Group Trade & Industry DB
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08967452

SUPPLIER NUMBER: 18694458

Courage flags as the wolves gather. (US financial markets)

Authers, John

Financial Times, n33082, pWFT2(1)

Sep 7, 1996

ISSN: 0307-1766

LANGUAGE: English

RECORD TYPE: Abstract

...ABSTRACT: indicates that a correction in share prices may occur. Employment data led to an initial **sell** reaction which affected **Treasury** bonds. This reaction was reversed over half an hour. **Financial** markets are also concerned about political risk, especially since Bob Dole has pledged tax cuts...

Trading. (Statistical Data Included)

Van Ness, Bonnie F.; Van Ness, Robert A.; Hsieh, Wen-Liang
The Financial Review, 34, 4, 145
Nov, 1999

DOCUMENT TYPE: Statistical Data Included ISSN: 0732-8516
LANGUAGE: English RECORD TYPE: Fulltext
WORD COUNT: 5425 LINE COUNT: 00556

... if trading costs and price improvement differ between the two markets. We find that order **execution costs**, which we define by the traded spread and the signed effective half-spread, are significantly...

...also trade on the regionals and in the third market. Blume and Goldstein (1992) compare **execution prices** of NYSE-listed stocks on the NYSE and on non-NYSE markets. They find that...that price executions differ systematically by location. He finds that Nasdaq offers the least favorable **execution price**. Peterson and Fialkowski (1994) conclude that the **execution price** of an order depends on location. When they study NYSE securities executing on the NYSE...

...sells one share at the best quoted ask and bid.

However, since investors frequently receive **improved prices**, they can encounter spreads lower than the quoted spread measures. For situations such as these...the trade direction results in overstatement of the traded and effective spread measures.

6. Price improvement

Price improvement, which we define as the amount that the market maker/ specialist discounts the price...

...costs are lower on an auction market (CHX) than on a dealer market (Nasdaq). Order **execution costs**, as defined by the traded spread and the effective half-spread, on the CHX are...for their useful remarks.

References

Blume, M.E. and M.A. Goldstein, 1992. Differences in **execution prices** among the NYSE, the regionals and the NASD. Working Paper, Rodney White Center for Financial...

...45.

Huang, R. and H. Stoll, 1996. Dealer versus auction markets: A paired comparison of **execution costs** on NASDAQ and the NYSE, Journal of Financial Economics 41, 313-358.

Jones, C.M...

...on the Nasdaq and NYSE/Amex. Working paper, University of Georgia.

Kamara, A., 1995. Market **trading** structures and asset pricing: Evidence from the **Treasury** -bill markets, Review of **Financial Studies** 4, 357-375.

Lau, S.T., M.S. McCorry, T.H. McInish, and R...Stock Exchange for the 26 Thursdays from January 1995-June 29, 1995

We define price **improvement** as the trade **price** minus best bid for a buy transaction, and the best ask minus the trade price...

23/3,K/36 (Item 4 from file: 75)

DIALOG(R)File 75:TGG Management Contents(R)
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00182833 SUPPLIER NUMBER: 17473193 (USE FORMAT 7 FOR FULL TEXT)

Effective strategies turn policies, instruments into payoffs.

Gillman, Patricia N.; Crino, Bryan L.
Corporate Cashflow Magazine, v16, n9, p29(2)
Sept, 1995

ISSN: 1040-0311 LANGUAGE: English RECORD TYPE: Fulltext; Abstract
WORD COUNT: 1485 LINE COUNT: 00120

... in changing circumstances.

As hedgers gain experience, they find ways to use the markets to

20:Dialog Global Reporter
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(USE FORMAT 7 OR 9 FOR FULLTEXT)

Accelerates Momentum

BUSINESS WIRE

May 10, 2000

JOURNAL CODE: WBWE LANGUAGE: English RECORD TYPE: FULLTEXT
WORD COUNT: 805

(USE FORMAT 7 OR 9 FOR FULLTEXT)

... dealers and investment managers, as well as to market infrastructures in payments, treasury, securities and trade . These services help customers reduce costs , improve automation and manage risk. Please find more information about S.W.I.F.T. on...

15/3,K/3

DIALOG(R)File 20:Dialog Global Reporter
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10958155 (USE FORMAT 7 OR 9 FOR FULLTEXT)

S.W.I.F.T. and FIX to Co-operate on Securities Standards

BUSINESS WIRE

May 10, 2000

JOURNAL CODE: WBWE LANGUAGE: English RECORD TYPE: FULLTEXT
WORD COUNT: 542

(USE FORMAT 7 OR 9 FOR FULLTEXT)

... dealers and investment managers, as well as to market infrastructures in payments, treasury, securities and trade . These services help customers reduce costs , improve automation and manage risk.

Website: www.swift.com

About FIX

F.I.X., the Financial...

15/3,K/6

DIALOG(R)File 20:Dialog Global Reporter
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09275257 (USE FORMAT 7 OR 9 FOR FULLTEXT)

Some food for thought for starters

OPENING YOUR OWN RESTAURANT IS A HIGH-RISK BUSINESS BEST LEFT TO THE HUNGRY, WRITES JIM DOW
SCOTLAND ON SUNDAY

January 16, 2000

JOURNAL CODE: FSCS LANGUAGE: English RECORD TYPE: FULLTEXT
WORD COUNT: 1056

(USE FORMAT 7 OR 9 FOR FULLTEXT)

... you have the passing trade, the lunch trade, but I am away from the main strip so my product and quality of service have to be much better ."

How did he raise the money required? "I have an understanding bank manager. You have to put in capital of your..."

15/3,K/9

DIALOG(R)File 20:Dialog Global Reporter

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04559908 (USE FORMAT 7 OR 9 FOR FULLTEXT)

San Jose Mercury News, Calif., James J. Mitchell Column

James J. Mitchell

KRTBN KNIGHT-RIDDER TRIBUNE BUSINESS NEWS (SAN JOSE MERCURY NEWS - CALIFORNIA)

March 07, 1999

JOURNAL CODE: KSJM LANGUAGE: English RECORD TYPE: FULLTEXT

WORD COUNT: 716

(USE FORMAT 7 OR 9 FOR FULLTEXT)

... money," says Meir Statman, a professor of finance at Santa Clara University.

Brokerage firms know better and use money invested in these products to buy call options on the S&P 500 and zero coupon bonds that mature in seven years. (Zero coupon bonds pay no interest until maturity.) "The...

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04559908 (USE FORMAT 7 OR 9 FOR FULLTEXT)

San Jose Mercury News, Calif., James J. Mitchell Column

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KRTBN KNIGHT-RIDDER TRIBUNE BUSINESS NEWS (SAN JOSE MERCURY NEWS - CALIFORNIA)

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(USE FORMAT 7 OR 9 FOR FULLTEXT)

... money," says Meir Statman, a professor of finance at Santa Clara University.

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15/AA,AN,TI/1
DIALOG(R)File 20:(c) 2005 The Dialog Corp. All rts. reserv.

11605590
S.W.I.F.T. Accelerates Momentum

15/AA,AN,TI/2
DIALOG(R)File 20:(c) 2005 The Dialog Corp. All rts. reserv.

11519538
S.W.I.F.T. Board Elects New Chairman

15/AA,AN,TI/3
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10958155
S.W.I.F.T. and FIX to Co-operate on Securities Standards

15/AA,AN,TI/4
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10389636
S.W.I.F.T. accepts broker-dealers and investment managers as full members

15/AA,AN,TI/5
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09622687
Threat of power cuts seen haunting ZSE

15/AA,AN,TI/6
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09275257
Some food for thought for starters

15/AA,AN,TI/7
DIALOG(R)File 20:(c) 2005 The Dialog Corp. All rts. reserv.

05203438
Algoma Steel Announces Results for the Quarter Ended March 31, 1999

15/AA,AN,TI/8
DIALOG(R)File 20:(c) 2005 The Dialog Corp. All rts. reserv.

05184076
Selling prices continue to squeeze Algoma earnings: Quarterly loss of \$30M

15/AA,AN,TI/9
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04559908
San Jose Mercury News, Calif., James J. Mitchell Column

15/AA,AN,TI/10
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01504047

State Street Announces State Street Bank Europe; New Bank to Pursue
Opportunities Presented by Single Market

15/AA,AN,II/11

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01273825

Moving Trading bonds to LuSE welcome news

?show files;ds

File 20:Dialog Global Reporter 1997-2005/Feb 07
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Set	Items	Description
S1	37591	(FINANCIAL OR INVESTMENT OR INSTRUMENT? ? OR PRODUCT? ?)(1-ON)(TREASURY OR ZERO()COUPON OR STRIP OR SEPARATE()TRADING(2W-REGISTERED)
S2	5928343	IMPROVE? ? OR IMPROVEMENT OR BETTER OR CORRECT??? OR REVIS- ??? OR EMEND? OR AMEND?
S3	313291	DERIVED OR EXECUTION
S4	127	OFFSETTING()(TRADE? ? OR OPERATION? ? OR INTERACTION? ? OR DEALING? ? OR ACTION? ? OR TRADING OR TRANSACTION? ? OR EXCHA- NG??? OR DEAL? ?)
S5	318654	S2(5N)(PRICE? ? OR PRICING OR MONEY OR DOLLAR? ? OR COST? - ?)
S6	9655	S3(5N)(PRICE? ? OR PRICING OR MONEY OR DOLLAR? ? OR COST? - ?)
S7	1668	S1 AND (S4 OR S5 OR S6)
S8	8579	S1(S)(TRADING OR TRADE?? OR EXCHANGE OR SELL??? OR SALE? ? OR BUY???)
S9	211	S8(S)(S4 OR S5 OR S6)
S10	0	S4(S)S8(S)(S5 OR S6)
S11	0	S4 AND S8 AND (S5 OR S6)
S12	91	S8(10N)(S4 OR S5 OR S6)
S13	14	S12 NOT PY>2000
S14	11	S13 NOT PD=20000718:20050331
S15	11	RD (unique items)

15/3,K/1

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11605590 (USE FORMAT 7 OR 9 FOR FULLTEXT)

S.W.I.F.T. Accelerates Momentum

BUSINESS WIRE

June 21, 2000

JOURNAL CODE: WBWE LANGUAGE: English RECORD TYPE: FULLTEXT

WORD COUNT: 805

(USE FORMAT 7 OR 9 FOR FULLTEXT)

... dealers and investment managers, as well as to market infrastructures in payments, treasury, securities and **trade**. These services help customers reduce **costs**, **improve** automation and manage risk. Please find more information about S.W.I.F.T. on...

15/3,K/3

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10958155 (USE FORMAT 7 OR 9 FOR FULLTEXT)

S.W.I.F.T. and FIX to Co-operate on Securities Standards

BUSINESS WIRE

May 10, 2000

JOURNAL CODE: WBWE LANGUAGE: English RECORD TYPE: FULLTEXT

WORD COUNT: 542

(USE FORMAT 7 OR 9 FOR FULLTEXT)

... dealers and investment managers, as well as to market infrastructures in payments, treasury, securities and **trade**. These services help customers reduce **costs**, **improve** automation and manage risk.

Website: www.swift.com

About FIX

F.I.X., the Financial...

15/3,K/6

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09275257 (USE FORMAT 7 OR 9 FOR FULLTEXT)

Some food for thought for starters

OPENING YOUR OWN RESTAURANT IS A HIGH-RISK BUSINESS BEST LEFT TO THE HUNGRY, WRITES JIM DOW

SCOTLAND ON SUNDAY

January 16, 2000

JOURNAL CODE: FSCS LANGUAGE: English RECORD TYPE: FULLTEXT

WORD COUNT: 1056

(USE FORMAT 7 OR 9 FOR FULLTEXT)

... you have the passing trade, the lunch trade, but I am away from the main **strip** so my **product** and quality of service have to be much **better**.

How did he raise the **money** required? "I have an understanding bank manager. You have to put in capital of your..."

15/3,K/9

DIALOG(R)File 20:Dialog Global Reporter

Subject: FW: JSTOR Citations for SN 09/617,853

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NUMBER OF CITATIONS : 4

-
1.
Title: Financial Innovation and the Role of Derivative Securities: An Empirical Analysis of the Treasury STRIPS Program
Author(s): Mark Grinblatt; Francis A. Longstaff
Source: The Journal of Finance, Vol. 55, No. 3. (Jun., 2000), pp. 1415-1436.
Stable URL: <http://links.jstor.org/sici?sici=0022-1082%28200006%2955%3A3%3C1415%3AFIATRO%3E2.0.CO%3B2-G>
Abstract: The role that financial innovation plays in financial markets is very controversial. To provide insight into this role, we examine how market participants use the highly successful Treasury STRIPS program. We find that investors use the option to create Treasury-derivative STRIPS primarily to make markets more complete and take advantage of tax and accounting asymmetries. Although liquidity-related factors help explain differences in the prices of Treasury bonds and STRIPS, we find little evidence that the option to strip and reconstitute securities is used for speculative or arbitrage-related purposes.
 2.
Title: Digital Contracts: Simple Tools for Pricing Complex Derivatives
Author(s): Jonathan E. Ingersoll, Jr.
Source: The Journal of Business, Vol. 73, No. 1. (Jan., 2000), pp. 67-88.
Stable URL: <http://links.jstor.org/sici?sici=0021-9398%28200001%2973%3A1%3C67%3ADCSTFP%3E2.0.CO%3B2-Y>
Abstract: This article presents a simple, unified approach for valuing a variety of financial assets using digital contracts. Three types of digitals are used: a digital option paying either one dollar or nothing, a digital share paying nothing or converting into one share of the underlying asset; and a first-touch digital paying one dollar the first time that the price of the underlying stock moves into some specified region. It is shown how the values of these three types of digitals can be determined for a wide variety of payoff events and how they can be combined to price complex contracts.
 3.
Title: Portfolio Selection and Asset Pricing Models
Author(s): Lubos Pastor
Source: The Journal of Finance, Vol. 55, No. 1. (Feb., 2000), pp.

179-223.

Stable URL: <http://links.jstor.org/sici?sici=0022-1082%28200002%2955%3A1%3C179%3APSAAPM%3E2.0.CO%3B2-P>

Abstract: Finance theory can be used to form informative prior beliefs in financial decision making. This paper approaches portfolio selection in a Bayesian framework that incorporates a prior degree of belief in an asset pricing model. Sample evidence on home bias and value and size effects is evaluated from an asset-allocation perspective. U.S. investors' belief in the domestic CAPM must be very strong to justify the home bias observed in their equity holdings. The same strong prior belief results in large and stable optimal positions in the Fama-French book-to-market portfolio in combination with the market since the 1940s.

4.

Title: Liquidity, Reconstitution, and the Value of U.S. Treasury Strips

Author(s): Philip R. Daves; Michael C. Ehrhardt

Source: The Journal of Finance, Vol. 48, No. 1. (Mar., 1993), pp. 315-329.

Stable URL: <http://links.jstor.org/sici?sici=0022-1082%28199303%2948%3A1%3C315%3ALRATVO%3E2.0.CO%3B2-A>

Abstract: An apparent pricing anomaly exists in the market for U.S. Treasury strips: zero-coupon strips created from principal payments typically trade at significantly higher prices than otherwise identical zero-coupon strips created from coupon payments. In addition to documenting this phenomenon, this study demonstrates that differences in liquidity and differences in reconstitution characteristics explain much of this price variation.

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File 474:New York Times Abs 1969-2005/Feb 05
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File 475:Wall Street Journal Abs 1973-2005/Feb 04
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File 256:TecInfoSource 82-2004/Dec
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File 139:EconLit 1969-2005/Jan
(c) 2005 American Economic Association

Set	Items	Description
S1	1926142	TRADING OR TRADE?? OR EXCHANGE OR SELL??? OR SALE? ? OR BUY??? Y???
S2	2597	(FINANCIAL OR INVESTMENT OR INSTRUMENT? ? OR PRODUCT? ?) (1-ON) (TREASURY OR ZERO() COUPON OR STRIP OR SEPARATE() TRADING(2W-) REGISTERED)
S3	1795425	CURRENT OR REALTIME OR (REAL OR ACTUAL) () TIME OR (NO OR "NOT" OR WITHOUT OR WITH() OUT) (2W) (DELAY OR WAIT??? OR PENDENCY OR LAG OR TIMELAG) OR INSTANT OR DYNAMIC OR LIVE OR UP(3W) MINUTE OR UPDAT? OR UP() DAT??? OR ADAPTIV?
S4	1601023	IMPROVE? ? OR IMPROVEMENT OR BETTER OR CORRECT??? OR REVIS- ??? OR EMEND? OR AMEND?
S5	555047	DERIVED OR EXECUTION
S6	1506800	PRICE? ? OR PRICING OR MONEY OR DOLLAR? ? OR COST? ?
S7	23	OFFSETTING() (TRADE? ? OR OPERATION? ? OR INTERACTION? ? OR DEALING? ? OR ACTION? ? OR TRADING OR TRANSACTION? ? OR EXCHANG- NG??? OR DEAL? ?)
S8	293	S1(10N)S2
S9	8	S3(10N)S8
S10	11486	S4(3W)S6
S11	0	S7(10N)S10
S12	410	S5()S6
S13	0	S9(S)S11(S)S12
S14	0	S8(S)(S7 OR S10 OR S12)
S15	1	S2(S)(S7 OR S10 OR S12)
S16	32502	S4(5N)S6
S17	4037	S5(5N)S6
S18	12	S2 AND (S7 OR S16 OR S17)
S19	10	S18 NOT PY>2000
S20	10	S19 NOT PD=20000718:20050331
S21	10	RD (unique items)

21/3,K/3 (Item 2 from file: 474)
DIALOG(R)File 474:New York Times Abs
(c) 2005 The New York Times. All rts. reserv.

00897122 NYT Sequence Number: 082874780204

(Sales of money-market investment funds are soaring as investors realize that they can be more rewarding than savings accounts. Money-market funds concentrate on Treasury bills and other short-term investment vehicles. Few charge sales commission, but most levy management fee of about 1% of assets. Offer twin advantages of convenience and diversification, although investors willing to shop the comparatively safe money markets can often do better alone than with a fund. Various Govt securities and other money-market instruments described in 'shoppers' guide' (S).)

PHALON, RICHARD
New York Times, Col. 5, Pg. 31
Saturday February 4 1978

...realize that they can be more rewarding than savings accounts.
Money-market funds concentrate on Treasury bills and other short-term investment vehicles. Few charge sales commission, but most levy management fee of about 1% of assets. Offer twin advantages of convenience and diversification, although investors willing to shop the comparatively safe money markets can often do better alone than with a fund. Various Govt securities and other money-market instruments described in...

21/3,K/4 (Item 1 from file: 475)
DIALOG(R)File 475:Wall Street Journal Abs
(c) 2005 The New York Times. All rts. reserv.

05756861

YOUR MONEY MATTERS

DONNELLY, BARBARA
Wall Street Journal, Col. 3, Pg. 1, Sec. C
Wednesday March 14 1990

ABSTRACT:

...credit standings, they are far from risk-free; many market professionals say investors, at current prices, may be better off in Treasury bonds; graphs (M)

DESCRIPTORS: STOCKS AND BONDS; MORTGAGES; **INVESTMENT** STRATEGIES;
TREASURY BONDS; INTEREST (MONEY); GOVERNMENT BONDS

21/3,K/10 (Item 1 from file: 139)
DIALOG(R)File 139:EconLit
(c) 2005 American Economic Association. All rts. reserv.

747917

TITLE: Pension Reform in Bolivia: Innovative Solutions to Common Problems

AUTHOR(S): von Gersdorff, Hermann

AUTHOR(S) AFFILIATION: Unlisted

PUBLICATION INFORMATION: The World Bank, Policy Research Working Paper
Series: 1832

PUBLICATION DATE: 1999

AVAILABILITY: <http://econ.worldbank.org/docs/755.pdf>

DOCUMENT TYPE: Working Paper

ABSTRACT INDICATOR: Abstract

...ABSTRACT: system. These will be calculated on the basis of a simple formula that could be improved to reduce the cost of transition. To avoid high marketing costs, Bolivia has entrusted management of the

system to...

... a competitive market for management of pension fund resources and hence achieve competitive returns on **investment** and higher pensions. To eliminate the requirement of **investment** in **treasury** bonds. To insulate the system from attempts to use AFP funds and capitalization proceeds for...

21/AA,AN,TI/1 (Item 1 from file: 35)
DIALOG(R)File 35:(c) 2005 ProQuest Info&Learning. All rts. reserv.

01507938

THE TERM STRUCTURE AND INTEREST RATE CONTINGENT CLAIMS WITH JUMP DIFFUSION
AND STOCHASTIC VOLATILITY

21/AA,AN,TI/2 (Item 1 from file: 474)
DIALOG(R)File 474:(c) 2005 The New York Times. All rts. reserv.

01113638 NYT Sequence Number: 062782811018

(Treasury Sec Donald T Regan, interview, argues for further budget cuts as means to correct downturn in economy. Urges that cost -of-living adjustments to Federal pensions, as well as Social Security pensions, be scaled back to conform with adjustments received by working people. Also cites student loans and health care as targets for cutting. Prefers that Government not intervene to soften recession but let 'natural forces' prevail. Names as his next tasks at Treasury Dept deregulation of financial institutions and ending 'some tax abuses' in present taxation sytem. Three Regan photos (L).)

21/AA,AN,TI/3 (Item 2 from file: 474)
DIALOG(R)File 474:(c) 2005 The New York Times. All rts. reserv.

00897122 NYT Sequence Number: 082874780204

(Sales of money-market investment funds are soaring as investors realize that they can be more rewarding than savings accounts. Money-market funds concentrate on Treasury bills and other short-term investment vehicles. Few charge sales commission, but most levy management fee of about 1% of assets. Offer twin advantages of convenience and diversification, although investors willing to shop the comparatively safe money markets can often do better alone than with a fund. Various Govt securities and other money-market instruments described in 'shoppers' guide' (S).)

21/AA,AN,TI/4 (Item 1 from file: 475)
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05756861

YOUR MONEY MATTERS

21/AA,AN,TI/5 (Item 1 from file: 583)
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09162111

German mills report better Q2 orders
GERMANY: INCREASE IN DEMAND FOR STEEL

21/AA,AN,TI/6 (Item 2 from file: 583)
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06121705

Boehler-Uddeholm: Vor dem Boersengang in Gewinnzone
AUSTRIA: BOEHLER-UDDEHOLM RETURNS TO PROFITS

21/AA,AN,TI/7 (Item 3 from file: 583)
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06020425

British Steel raises prices for **strip products**
UK: BRITISH STEEL TRIES FURTHER STRIP PRICE RISE

21/AA,AN,TI/8 (Item 4 from file: 583)
DIALOG(R)File 583:(c) 2002 The Gale Group. All rts. reserv.

06007220
British Steel looks for continued upswing
UK: BS PROFITS AND HOME MARKET SHARE RISE

21/AA,AN,TI/9 (Item 5 from file: 583)
DIALOG(R)File 583:(c) 2002 The Gale Group. All rts. reserv.

05044037
Feronia privatisation gets final approval
CZECHOSLOVAKIA - FERONIA TO BE PRIVATISED

21/AA,AN,TI/10 (Item 1 from file: 139)
DIALOG(R)File 139:(c) 2005 American Economic Association. All rts. reserv.

747917
TITLE: Pension Reform in Bolivia: Innovative Solutions to Common Problems
AUTHOR(S) AFFILIATION: Unlisted

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File 348:EUROPEAN PATENTS 1978-2005/Jan W05

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File 349:PCT FULLTEXT 1979-2002/UB=20050203,UT=20050127

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Set	Items	Description
S1	302924	TRADING OR TRADE?? OR EXCHANGE OR SELL??? OR SALE? ? OR BUY???
S2	5057	(FINANCIAL OR INVESTMENT OR INSTRUMENT? ? OR PRODUCT? ?)(1-ON)(TREASURY OR ZERO()COUPON OR STRIP OR SEPARATE()TRADING(2W-)REGISTERED)
S3	668911	CURRENT OR REALTIME OR (REAL OR ACTUAL)()TIME OR (NO OR "NOT" OR WITHOUT OR WITH()OUT)(2W)(DELAY OR WAIT??? OR PENDENCY OR LAG OR TIMELAG) OR INSTANT OR DYNAMIC OR LIVE OR UP(3W)MINUTE OR UPDAT? OR UP()DAT??? OR ADAPTIV?
S4	1104429	IMPROVE? ? OR IMPROVEMENT OR BETTER OR CORRECT??? OR REVIS-??? OR EMEND? OR AMEND?
S5	390670	DERIVED OR EXECUTION
S6	448328	PRICE? ? OR PRICING OR MONEY OR DOLLAR? ? OR COST? ?
S7	54	OFFSETTING()(TRADE? ? OR OPERATION? ? OR INTERACTION? ? OR DEALING? ? OR ACTION? ? OR TRADING OR TRANSACTION? ? OR EXCHANG-NG??? OR DEAL? ?)
S8	840	1(10N)S2
S9	10	S3(10N)S8
S10	6710	S4(3W)S6
S11	1	S7(10N)S10
S12	160	S5()S6
S13	0	S9(S)S11(S)S12
S14	4	S8(S)(S7 OR S10 OR S12)
S15	92	S1(10N)S2
S16	4	S15(S)(S7 OR S10 OR S12)
S17	83	S2 AND (S7 OR S10 OR S12)
S18	7	S2(S)(S7 OR S10 OR S12)
S19	12	S2(3S)(S7 OR S10 OR S12)
S20	49111	IC=G06F-017?
S21	35	S17 AND S20
S22	39	S19 OR S21 /
S23	39	IDPAT (sorted in duplicate/non-duplicate order)
S24	39	IDPAT (primary/non-duplicate records only)

24/3,K/11 (Item 11 from file: 349)
DIALOG(R)File 349:PCT FULLTEXT
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00993598 **Image available**

**METHOD AND APPARATUS FOR CONDUCTING FINANCIAL TRANSACTIONS
PROCEDE ET SYSTEME D'EXECUTION DE TRANSACTIONS FINANCIERES**

Patent Applicant/Assignee:

FX ALLIANCE LLC, 900 Third Avenue, Third Floor, New York, NY 10022, US,
US (Residence), US (Nationality)

Inventor(s):

PENNEY Neill, 28 Chadwick Place, Surbiton, Surrey KT6 5RE, GB,
WRIGHT David, 320 E. 46th Street, Apt. 8-E, New York, NY 10017, US,

Legal Representative:

WHITE Grady L (agent), Covington & Burling, 1201 Pennsylvania Avenue,
N.W., Washington, DC 20004-2401, US,

Patent and Priority Information (Country, Number, Date):

Patent: WO 200323564 A2-A3 20030320 (WO 0323564)

Application: WO 2002US28697 20020910 (PCT/WO US0228697)

Priority Application: US 2001318577 20010911; US 2001330798 20011031; US
2002352512 20020131

Designated States:

(Protection type is "patent" unless otherwise stated - for applications
prior to 2004)

AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CO CR CU CZ DE DK DM DZ
EC EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR
LS LT LU LV MA MD MG MK MN MW MX MZ NO NZ OM PH PL PT RO RU SD SE SG SI
SK SL TJ TM TN TR TT TZ UA UG UZ VC VN YU ZA ZM ZW
(EP) AT BE BG CH CY CZ DE DK EE ES FI FR GB GR IE IT LU MC NL PT SE SK TR
(OA) BF BJ CF CG CI CM GA GN GQ GW ML MR NE SN TD TG
(AP) GH GM KE LS MW MZ SD SL SZ TZ UG ZM ZW
(EA) AM AZ BY KG KZ MD RU TJ TM

Publication Language: English

Filing Language: English

Fulltext Word Count: 34626

Main International Patent Class: G06F-017/60

Fulltext Availability:

Detailed Description

Detailed Description

... instruments include coupon bearing instruments, such as certificates
of deposit (CDs) and repurchase agreements, discount **instruments**, such
as **treasury** bills, (Tbills) and commercial paper, and derivatives, such
as forward rate agreements, interest rate futures...also include the step
of receiving from the provider a transaction term responsive to the
amendment, such as a **price** quote, and receiving from the customer an
offer to deal responsive to the transaction term...or rejection. In the
case of Provider 11 5B, who has built its own Provider **Amendment** API
106, the **price** quote

24/3,K/12 (Item 12 from file: 349)
DIALOG(R)File 349:PCT FULLTEXT
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00964488 **Image available**

**SYSTEM AND METHOD FOR AN AUCTION OF MULTIPLE TYPES OF ITEMS
SYSTEME ET PROCEDE D'ENCHERES POUR DES ARTICLES DE TYPES MULTIPLES**

Patent Applicant/Inventor:

AUSUBEL Lawrence M, 2920 Garfield Terrace NW, Washington DC 20008, US, US
(Residence), US (Nationality)

CRAMTON Peter C, 6418 Dahlonge Road, Bethesda, MD 20816, US, US
(Residence), US (Nationality)

JONES Wynne P, 7 Chalfont Road, Oxford OX2 6TL, GB, GB (Residence), GB

(Nationality)

Legal Representative:

STANLEY B Green (et al) (agent), Connolly Bove Lodge & Hutz LLP, 1990 M Street, NW, Suite 800, Washington, DC 20036, US,

Patent and Priority Information (Country, Number, Date):

Patent: WO 200297582 A2-A3 20021205 (WO 0297582)

Application: WO 2002US16937 20020531 (PCT/WO US0216937)

Priority Application: US 2001294246 20010531; US 2001898483 20010705

Designated States:

(Protection type is "patent" unless otherwise stated - for applications prior to 2004)

AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CR CU CZ DE DK DM DZ EE
ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT
LU LV MA MD MG MK MN MW MX NZ NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM
TR TT TZ UA UG US UZ VN YU ZA ZW

(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE TR

(OA) BF BJ CF CG CI CM GA GN GQ GW ML MR NE SN TD TG

(AP) GH GM KE LS MW MZ SD SL SZ TZ UG ZM ZW

(EA) AM AZ BY KG KZ MD RU TJ TM

Publication Language: English

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Fulltext Word Count: 17534

Main International Patent Class: G06F-017/60

Fulltext Availability:

Detailed Description

Detailed Description

... covering different time periods. For example, a government may wish to sell three types of **financial** securities: 3-month **Treasury** bills; 6-month **Treasury** bills; and 12-month Treasury bills. The government's only constraint may be that it...information, if any. In one preferred embodiment, the bidding information processor automatically generates a suggested **revised price** vector, outputs the suggested **revised price**

23

vector through its network interface, and transmits it via the network. The auctioneer terminal then receives the suggested **revised price** vector through its network interface and displays it to the auctioneer through its user interface. The auctioneer either approves or modifies the **revised price** vector through the user interface of the auctioneer terminal, which then outputs the **revised price** vector through its network interface and transmits it via the network. The bidding information processor then receives the **revised price** vector through its network interface for use in subsequent steps. The process then loops to...to markedly change during the course of the auction, then the auctioneer might wish to **revise** the **price** relationship or relation accordingly.

Figure 5b is a flow diagram illustrating an exemplary process by...

24/3,K/17 (Item 17 from file: 349)

DIALOG(R)File 349:PCT FULLTEXT

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00922112 **Image available**

ANONYMOUS AUCTIONING OF STRUCTURED FINANCIAL PRODUCTS OVER A COMPUTER NETWORK

VENTE AUX ENCHERES ANONYME DE PRODUITS FINANCIERS STRUCTURES SUR UN RESEAU INFORMATISE

Patent Applicant/Assignee:

VERTICALCROSSINGS COM INC, 122 East 42nd Street, New York, NY 10168, US,
US (Residence), US (Nationality)

Inventor(s):

KAPLAN Harry A, 95 Kane Street, Brooklyn, NY 11231, US,
DOWNES Patrick F, 42 Midwood Road, Greenwich, CT 06830, US,

Legal Representative:

HOPKINS Brian P (agent), Mintz, Levin, Cohn, Ferris, Glovsky and Popeo,
P.C., One Financial Center, Boston, MA 02111, US,

Patent and Priority Information (Country, Number, Date):

Patent: WO 200256146 A2-A3 20020718 (WO 0256146)

Application: WO 2002US684 20020111 (PCT/WO US0200684)

Priority Application: US 2001261502 20010112

Designated States:

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prior to 2004)

AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CO CR CU CZ DE DK DM DZ
EC EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR
LS LT LU LV MA MD MG MK MN MW MX MZ NO NZ OM PH PL PT RO RU SD SE SG SI
SK SL TJ TM TR TT TZ UA UG UZ VN YU ZA ZW

(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE TR

(OA) BF BJ CF CG CI CM GA GN GQ GW ML MR NE SN TD TG

(AP) GH GM KE LS MW MZ SD SL SZ TZ UG ZM ZW

(EA) AM AZ BY KG KZ MD RU TJ TM

Publication Language: English

Filing Language: English

Fulltext Word Count: 13284

Main International Patent Class: G06F-017/60

Fulltext Availability:

Detailed Description

Claims

Detailed Description

... positions. This generally causes a large market

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disruption resulting in a large reduction in **execution price**. This is
caused by the lack of anonymity in today's market.

The disclosed system...

...anonymously without alerting attention as to "who" is doing the selling,
and thus obtain significantly **better price** execution. In the system's
preferred embodiment, the transaction would appear as a lot of...

Claim

... bid, and underlying financial benchmarks.

58 The method according to claim 57, wherein said underlying **financial**
benchmarks

include US **Treasury** information, interest rates, currency exchange,
unemployment, and market volatility.

59 A system for tracking a...

24/3,K/18 (Item 18 from file: 349)

DIALOG(R)File 349:PCT FULLTEXT

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00886079

COMPUTER TRADING OF FINANCIAL INTERESTS

NEGOCE INFORMATISE D'INTERETS FINANCIERS

Patent Applicant/Assignee:

BLOOMBERG LP, 499 Park Avenue, New York, NY 10022, US, US (Residence), US
(Nationality)

Inventor(s):

BEN-LEVY Shai, c/o Bloomberg LP, 499 Park Avenue, New York, NY 10022, US,

MULLEN David, c/o Bloomberg LP, 499 Park Avenue, New York, NY 10022, US,

GEYER George, c/o Bloomberg LP, 499 Park Avenue, New York, NY 10022, US,
SIBILLA Todd, c/o Bloomberg LP, 499 Park Avenue, New York, NY 10022, US,
GAERTNER Kenneth, c/o Bloomberg LP, 499 Park Avenue, New York, NY 10022,
US,

Legal Representative:

MARQUARDT Matthew J (agent), Brown Raysman Millstein Felder & Steiner
LLP, 900 Third Avenue, New York, NY 10022, US,

Patent and Priority Information (Country, Number, Date):

Patent: WO 200219223 A1 20020307 (WO 0219223)
Application: WO 2001US27137 20010830 (PCT/WO US0127137)
Priority Application: US 2000229173 20000830

Designated States:

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prior to 2004)

AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CO CR CU CZ DE DK DM DZ
EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS
LT LU LV MA MD MG MK MN MW MX MZ NO NZ PH PL PT RO RU SD SE SG SI SK SL
TJ TM TR TT TZ UA UG UZ VN YU ZA ZW

(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE TR

(OA) BF BJ CF CG CI CM GA GN GQ GW ML MR NE SN TD TG

(AP) GH GM KE LS MW MZ SD SL SZ TZ UG ZW

(EA) AM AZ BY KG KZ MD RU TJ TM

Publication Language: English

Filing Language: English

Fulltext Word Count: 18733

Main International Patent Class: G06F-017/60

Fulltext Availability:

Detailed Description

Claims

Detailed Description

... bonds, are often stated relative to more liquid interest for example
government bonds such as **treasury** issues. **Financial** interests used
for comparisons in this fashion are sometimes referred to as benchmarks.
For example...

Claim

... benchmark price not originated by said user.

30 The method of Claim 29, wherein said **derived price** reference is
derived using an average of a plurality of said benchmark prices.

31 The method of Claim 29, wherein said **derived price** reference is
derived using said at least one benchmark price and a reserve price
spread...

24/3,K/19 (Item 19 from file: 349)

DIALOG(R)File 349:PCT FULLTEXT

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00873785

SYSTEM FOR TRADING FIXED INCOME FINANCIAL INSTRUMENTS : U.S. TREASURY
SECURITIES, LIQUID AGENCIES AND ZERO COUPON STRIPS

SYSTEME DE COMMERCE D'INSTRUMENTS FINANCIERS A REVENUS FIXES: BONS DU
TRESOR DES E.U, TITRES VENDUS SANS COUPON ET TITRES LIQUIDES EMIS PAR
DES ORGANISMES FEDERAUX

Patent Applicant/Assignee:

UBS PAINEWEBBER INC, 1200 Harbor Blvd., Weehawken, NJ 07087, US, US

(Residence), US (Nationality), (For all designated states except: US)

Patent Applicant/Inventor:

NARATIL Thomas C, 3 LedgeWood Court, Warren, NJ 07059, US, US (Residence)

, US (Nationality), (Designated only for: US)

Legal Representative:

RESTAINO Leslie Gladstone (agent), Brown Raysman Millstein Felder &
Steiner LLP, 55 Madison Avenue, Morristown, NJ 07960, US,
Patent and Priority Information (Country, Number, Date):
Patent: WO 200207039 A2 20020124 (WO 0207039)
Application: WO 2001US21806 20010709 (PCT/WO US0121806)
Priority Application: US 2000617853 20000717

Designated States:

(Protection type is "patent" unless otherwise stated - for applications
prior to 2004)

AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CO CR CU CZ DE DK DM DZ
EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS
LT LU LV MA MD MG MK MN MW MX MZ NO NZ PL PT RO RU SD SE SG SI SK SL TJ
TM TR TT TZ UA UG US UZ VN YU ZA ZW
(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE TR
(OA) BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG
(AP) GH GM KE LS MW MZ SD SL SZ TZ UG ZW
(EA) AM AZ BY KG KZ MD RU TJ TM

Publication Language: English

Filing Language: English

Fulltext Word Count: 6228

**SYSTEM FOR TRADING FIXED INCOME FINANCIAL INSTRUMENTS : U.S. TREASURY
SECURITIES, LIQUID AGENCIES AND ZERO COUPON STRIPS**

Main International Patent Class: **G06F-017/60**

Fulltext Availability:

Detailed Description

Claims

Detailed Description

SYSTEM FOR TRADING FIUED INCOME **FINANCIAL
INSTRUMENTS : U.S. IREASURY SECURITIES, LIQUID
AGENCIES AM ZERO COUPON STRIPS**
RACKGROXIND OF THE INVENTION
1. Field of the Invention.

The present invention relates to...

...OF THE INVENTION

The present invention provides a system for automated trading of
U.S. **Treasury** , Liquid Agency, and **Zero Coupon STRIP financial
instruments** ,
comprising an updatable system database; an updatable offering inventory
database which receives real time price...

...a system proprietor capable of determining a national best bid and offer
price and a **derived price** for each financial instrument in the
offering inventory. The system proprietor applies a price improvement
process to a trade in the event that an **offsetting trade** occurs, and
updates the system database and offering inventory to reflect
transactions executed by the...

...feed; executing trade orders based on a national best bid and offer
price or a
derived price in the event the national best bid and offer price is
not
available; and applying a price improvement process to the national best
bid and offer price or the **derived price** in the in the event that an
offsetting trade
occurs. In accordance with the method described herein, consumers are
provided with an automated system for order entry, improvement and trade
execution of fixed income **financial instruments** ,, and in particular
U.S.

Treasury Securities, Liquid Agencies and Zero Coupon STRIPS.

recited in claim 1, wherein the **derived price** is calculated by

- 19

(a) determining the captured spread between a last transaction price and...

...current existing price. I 1. A computer-implemented system for automated trading of U.S.

Treasury, Liquid Agency, and **Zero - Coupon STRIP financial instruments** as recited in claim 1, wherein the system proprietor generates a confirmation of the executed order.

12 A computer-implemented system for automated trading of U.S.

Treasury, Liquid Agency, and **Zero - Coupon STRIP financial instruments** as recited in claim 1, wherein the system allows the user to manually enter interfirm...

...dealer trades for execution.

13 A computer-implemented system for automated trading of U.S.

Treasury, Liquid Agency, and **Zero - Coupon STRIP financial instruments** as recited in claim 12, wherein the system automatically updates the offering inventory in accordance...

...pertaining to user

position, updatable offering inventory and real time market data for U.S.

Treasury, Liquid Agency and **Zero - Coupon STRIP financial instruments**; and a system proprietor for determining national best bid and offer price from the market...

...system proprietor being operative to convert the national best bid and offer price to a **derived price** in the event the national best bid and offer price is not available, the system proprietor being further operative to provide a customer with an **improved bid and offer price** in the event that an **offsetting trade** occurs, whereby the system proprietor executes automatic trades at the national best bid and offer price or the **derived price** based on orders entered into the system.

15 A data processing method for the automatic...

...feed;

executing trade orders based on a national best bid and offer price or a **derived price** in the event the national best bid and offer price is not

available; and

applying a price improvement process to the national best bid and offer price or the **derived price** in the in the event that an **offsetting trade** occurs.

24/3,K/20 (Item 20 from file: 349)

DIALOG(R)File 349:PCT FULLTEXT

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00826121 **Image available**

APPARATUS, METHOD AND PROGRAM FOR A FIXED INCOME TRADING SYSTEM

APPAREIL, PROCEDE ET PROGRAMME POUR SYSTEME DE NEGOCIATION DE VALEURS A REVENU FIXE

Patent Applicant/Inventor:

FENICHEL Peter I, 23 Maragetta Terrace, London SW3 5NU, GB, GB

(Residence), GB (Nationality)

EZRA Michael J, 14 Arkwright Road, Hampstead, London NW3 6BG, GB, GB

(Residence), GB (Nationality)
CARY Steven H, 180 Pear Tree Point Road, Darien, CT 06820, US, US
(Residence), US (Nationality)
JOHNSON-WATT Duncan, 82 Warwick Park, Tunbridge Wells, Kent TN2 5EF, GB,
GB (Residence), GB (Nationality)
LEFFERTS David H, Apartment 7A, 420 East 54th Street, New York, NY 10022,
US, US (Residence), US (Nationality)
MISCIMARRA Anthony F Jr, 9 George Langeloh Court, Rye, NY 10580, US, US
(Residence), US (Nationality)
MOSELEY Rachel N, One Berwick Road, Scarsdale, NY 10583, US, US
(Residence), US (Nationality)
PAULL Michael John Crichton, 1 Kersley Mews, Battersea, London SW11 4PS,
GB, GB (Residence), GB (Nationality)
ROSS Howard L, 92 Irma Drive, Oceanside, NY 11572, US, US (Residence), US
(Nationality)

Legal Representative:

SHARROTT Douglas (et al) (agent), Fitzpatrick, Cella, Harper & Scinto, 30
Rockefeller Plaza, New York, NY 10112, US,

Patent and Priority Information (Country, Number, Date):

Patent: WO 200159661 A2 20010816 (WO 0159661)
Application: WO 2001US3987 20010208 (PCT/WO US0103987)
Priority Application: US 2000500322 20000208

Designated States:

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prior to 2004)

AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CR CU CZ DE DK DM DZ EE
ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT
LU LV MA MD MG MK MN MW MX MZ NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM
TR TT TZ UA UG UZ VN YU ZA ZW
(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE TR
(OA) BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG
(AP) GH GM KE LS MW MZ SD SL SZ TZ UG ZW
(EA) AM AZ BY KG KZ MD RU TJ TM

Publication Language: English

Filing Language: English

Fulltext Word Count: 38023

Main International Patent Class: G06F-017/60

Fulltext Availability:

Detailed Description
Claims

Detailed Description

... be located
in the United States, with the corresponding trading market
focusing on U.S. **Treasury instruments**. Similarly, Data center
120 could be located in the United Kingdom. By fully
integrating the...selected market view shown in Figure 24, a
market level view for all U.S. **Treasury "books"** (e.g., all
10@ U.S. **Treasury instruments** on the system 100 by settlement
date) ate displayed, along with a configurable window that...book. If the
parameters are not met, the trader could
be notified to enter the **correct** information. Size and **price**
parameters can be established when the book is created, for
example.

The Book Manager 112c...can be aggressed based on the price criteria set
by the
aggressor. A Limit or **Better price** option sets an absolute
price limit (e.g., the system will not go beyond a...

...if this means that the desired price and size
cannot be achieved). An Average or **Better price** option is an
average limit (e.g., the system will aggress orders below
specified price...amount will not result in a loss of any position,

unless an
order having a **better price** is received. Referred to as a
"drip-rate." the reserve amounts automatically replace
exhausted shown...

...pricing scheme, a trader can select either a "Limit or
Better" 353a or "Average or **Better**" 353b **price** option, as
discussed about with respect to hitting a bid, Sweeping bids
is achieved in...expires, and is deleted from the
respective book, as soon as an order having a **better price** is
entered into the instrument book. In a preferred embodiment,
the Good-Until-Topped order...automatically by the system 100 when the
current
market on the system 100 reaches a "**correct**" **price**, The
correct price can be determined by a new price in the pegged
instrument, which generates a new...maintenance is for maintaining
sectors and creating new sectors. Sectors are broad
categories for individual **instruments**. For example, a sector
of U.S. **Treasury** Notes/Bonds could be 0-2 year, 2-5 year, 5-10
years, and 10...

Claim

... order, comprising good until
topped user selected criteria, when a second order having a
131
better price than the first order is entered into the at least
one instrument book.

44 The...

24/3,K/26 (Item 26 from file: 349)
DIALOG(R)File 349:PCT FULLTEXT
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00799890 **Image available**
SYSTEM AND METHOD FOR CONDUCTING WEB-BASED FINANCIAL TRANSACTIONS IN
CAPITAL MARKETS
SYSTEME ET PROCEDE PERMETTANT D'OPERER DES TRANSACTIONS FINANCIERES VIA
L'INTERNET SUR LE MARCHE FINANCIER

Patent Applicant/Assignee:

INTEGRAL DEVELOPMENT CORPORATION, 156 University Avenue, Palo Alto, CA
94301, US, US (Residence), US (Nationality), (For all designated states
except: US)

Patent Applicant/Inventor:

SANDHU Harpal, 669 Waverly Place, Palo Alto, CA 94301, US, US (Residence)
, US (Nationality), (Designated only for: US)
TOLAT Viral V, 2148 Harkins Avenue, Menlo Park, CA 94025, US, US
(Residence), US (Nationality), (Designated only for: US)

Legal Representative:

CHOU Chien-Wei (Chris) (et al) (agent), Oppenheimer Wolff & Donnelly LLP,
1400 Page Mill Road, Palo Alto, CA 94304, US,

Patent and Priority Information (Country, Number, Date):

Patent: WO 200133462 A1 20010510 (WO 0133462)
Application: WO 2000US30076 20001031 (PCT/WO US0030076)
Priority Application: US 99162873 19991101

Designated States:

(Protection type is "patent" unless otherwise stated - for applications
prior to 2004)

AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CR CU CZ DE DK DM DZ EE
ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT
LU LV MA MD MG MK MN MW MX MZ NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM
TR TT TZ UA UG US UZ VN YU ZA ZW
(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE
(OA) BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG

(AP) GH GM KE LS MW MZ SD SL SZ TZ UG ZW
(EA) AM AZ BY KG KZ MD RU TJ TM
Publication Language: English
Filing Language: English
Fulltext Word Count: 52016

Main International Patent Class: G06F-017/60
Fulltext Availability:
Claims

Claim

... other lending rates. "Bonds" interface, shown in FIGS. 30-30A, provides a summary of international **treasury** and other bond rates. "Exchange-traded **Instruments**" interface, shown in FIG. 31, provides a summary of international exchange-traded instrument bond and...via a software routine (or "robot") programmed to accept quotes at a certain level. Price "**improvement**" occurs when a **price** quote changes or "improves" for certain trading partners, either publicly or confidentially, using automated software...

24/3,K/28 (Item 28 from file: 349)
DIALOG(R)File 349:PCT FULLTEXT
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00774517 **Image available**

FINANCIAL PRODUCTS HAVING DEMAND-BASED, ADJUSTABLE RETURNS, AND TRADING EXCHANGE THEREFOR
PRODUITS FINANCIERS AYANT DES RECETTES AJUSTABLES, FONCTION DE LA DEMANDE, ET ECHANGES COMMERCIAUX CORRESPONDANT

Patent Applicant/Assignee:

LONGITUDE INC, 650 Fifth Avenue, New York, NY 10019-6018, US, US
(Residence), US (Nationality), (For all designated states except: US)

Patent Applicant/Inventor:

LANGE Jeffrey, 3 East 84th Street, Apt. 3, New York, NY 10028, US, US
(Residence), US (Nationality), (Designated only for: US)

Legal Representative:

BERMAN Paul J (agent), Covington & Burling, 1201 Pennsylvania Avenue, N.W., P.O. Box 7566, Washington, DC 20044-7566, US,

Patent and Priority Information (Country, Number, Date):

Patent: WO 200108063 A1 20010201 (WO 0108063)
Application: WO 2000US19447 20000718 (PCT/WO US0019447)
Priority Application: US 99144890 19990721; US 99448822 19991124

Designated States:

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AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CR CU CZ DE DK DM DZ EE
ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT
LU LV MA MD MG MK MN MW MX MZ NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM
TR TT TZ UA UG US UZ VN YU ZA ZW

(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE

(OA) BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG

(AP) GH GM KE LS MW MZ SD SL SZ TZ UG ZW

(EA) AM AZ BY KG KZ MD RU TJ TM

Publication Language: English
Filing Language: English
Fulltext Word Count: 62845

Main International Patent Class: G06F-017/60
Fulltext Availability:
Claims

English Abstract

...to the derivative securities and similar financial markets, include increased price transparency, reduced credit risk, **improved** information

aggregation, increased **price** transparency, reduced settlement or clearing costs, reduced hedging costs, reduced model risk, reduced event risk...

Claim

... existed which elicited trader expectations on the distribution of spreads between high-grade United States **Treasury** securities and lower-grade debt **instruments**, LTCM could have "hedged" its own speculative positions in the lower-grade instruments by making...

24/3,K/29 (Item 29 from file: 349)

DIALOG(R) File 349:PCT FULLTEXT

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00769409 **Image available**

METHOD AND APPARATUS FOR PROCESSING SECURITIES TRANSACTIONS

PROCEDE ET APPAREIL PERMETTANT DE TRAITER DES TRANSACTIONS PORTANT SUR DES TITRES

Patent Applicant/Assignee:

GLOBENET CAPITAL CORPORATION, 507 N. New York Avenue, Suite 200, Winter Park, FL 32789, US, US (Residence), US (Nationality)

Inventor(s):

MAGILL Louis, GlobeNet Stock Exchange, Inc., 507 N. New York Avenue, Suite 200, Winter Park, FL 32789, US,

SEMONES Bob, GlobeNet Stock Exchange, Inc., 507 N. New York Avenue, Suite 200, Winter Park, FL 32789, US,

Legal Representative:

KURTZ Richard (et al) (agent), 12th Floor, 1750 Tysons Boulevard, Mcclean, VA 22102, US,

Patent and Priority Information (Country, Number, Date):

Patent: WO 200102930 A2-A3 20010111 (WO 0102930)

Application: WO 2000US18258 20000630 (PCT/WO US0018258)

Priority Application: US 99141859 19990701; US 99155489 19990923

Designated States:

(Protection type is "patent" unless otherwise stated - for applications prior to 2004)

AE AG AL AM AT AT (utility model) AU AZ BA BB BG BR BY BZ CA CH CN CR CU
CZ CZ (utility model) DE DE (utility model) DK DK (utility model) DM DZ
EE EE (utility model) ES FI FI (utility model) GB GD GE GH GM HR HU ID IL
IN IS JP KE KG KP KR KR (utility model) KZ LC LK LR LS LT LU LV MA MD MG
MK MN MW MX MZ NO NZ PL PT RO RU SD SE SG SI SK SK (utility model) SL TJ
TM TR TT TZ UA UG UZ VN YU ZA ZW

(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE

(OA) BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG

(AP) GH GM KE LS MW MZ SD SL SZ TZ UG ZW

(EA) AM AZ BY KG KZ MD RU TJ TM

Publication Language: English

Filing Language: English

Fulltext Word Count: 11345

Main International Patent Class: G06F-017/60

Fulltext Availability:

Detailed Description

Detailed Description

... the message to the Subscriber, the message is decrypted and the header and footer are **strip** off.

Subscribers may choose to conform to the **Financial** Information eXchange (FIX) protocol. The FIX protocol is a messaging standard developed specifically for real...

...The preferred embodiment of the color coding schema is.

Green System has the same or **better price** than the national best
Red The national best is better than the System.

Black National...or service. In the event that the external primary market is open for trading, a **better price** (execution) ...partially executable (price and size), the system will take action to facilitate execution at the **better price**, as instructed by the Subscriber. It will either.

Hold internal for processing, or
Route the...sources such as stock exchange systems, or NASDAQ). The invention provides the investor with an **improved** tool for **price** discovery (transparency), price improvement and best execution methods.

32

As set forth in detail above...

24/AN,AZ,TI/1 (Item 1 from file: 348)
DIALOG(R)File 348:(c) 2005 European Patent Office. All rts. reserv.

01569451
Systems and methods for quoting a two-sided market
Systeme und Verfahren fur Notierungen in bilateralen Markten
Systemes et methodes de cotation d'un marche bilateral
APPLICATION (CC, No, Date): EP 2002257222 021017;
PRIORITY (CC, No, Date): US 982709 011018

24/AN,AZ,TI/2 (Item 2 from file: 348)
DIALOG(R)File 348:(c) 2005 European Patent Office. All rts. reserv.

00847466
ANONYMOUS TRADING SYSTEM WITH IMPROVED QUOTE INPUT CAPABILITIES
ANONYMES BORSENHANDELSSYSTEM MIT VERBESSERTEN EINGABEMOGLICHKEITEN FUR
QUOTEN
SYSTEME D'ECHANGE COMMERCIAL ANONYME A POSSIBILITES AMELIOREES
D'INTRODUCTION DE COTATION
APPLICATION (CC, No, Date): EP 96932164 960828; WO 96US14086 960828
PRIORITY (CC, No, Date): US 2856 P 950828

24/AN,AZ,TI/3 (Item 3 from file: 348)
DIALOG(R)File 348:(c) 2005 European Patent Office. All rts. reserv.

00502248
METHOD AND APPARATUS FOR ORDER MANAGEMENT BY MARKET BROKERS
VERFAHREN UND GERAT FUR DIE AUFTRAGSVERWALTUNG VON BORSENMAKLERN
PROCEDE ET APPAREIL POUR LA GESTION D'ORDRES PAR DES AGENTS DE CHANGE
APPLICATION (CC, No, Date): EP 91906040 910306; WO 91US1595 910306
PRIORITY (CC, No, Date): US 489196 900306

24/AN,AZ,TI/4 (Item 4 from file: 348)
DIALOG(R)File 348:(c) 2005 European Patent Office. All rts. reserv.

00475936
Chromium containing high strength steel sheet excellent in corrosion
resistance and workability
Hochfestes, chromenthaltendes Stahlblech mit sehr hoher
Korrosionsbeständigkeit und Bearbeitbarkeit
Feuillard d'acier au chrome, a haute resistance, possedant une resistance a
la corrosion tres elevee et une bonne aptitude au formage
APPLICATION (CC, No, Date): EP 91122110 911223;
PRIORITY (CC, No, Date): JP 90415495 901228

24/AN,AZ,TI/5 (Item 5 from file: 349)
DIALOG(R)File 349:(c) 2005 WIPO/Univentio. All rts. reserv.

01197286
REPLICATED DERIVATIVES HAVING DEMAND-BASED, ADJUSTABLE RETURNS, AND TRADING
EXCHANGE THEREFOR
PRODUITS DERIVES REPLIQUES A RENDEMENTS AJUSTABLES, BASES SUR LA DEMANDE,
ET ECHANGES COMMERCIAUX ASSOCIES
Application: WO 2004US4553 20040211 (PCT/WO US04004553)

24/AN,AZ,TI/6 (Item 6 from file: 349)
DIALOG(R)File 349:(c) 2005 WIPO/Univentio. All rts. reserv.

01122525
METHOD AND APPARATUS FOR TRADING ASSETS

PROCEDE ET APPAREIL POUR LA NEGOCIATION D'ACTIFS

Application: WO 2003US35486 20031107 (PCT/WO US03035486)

24/AN,AZ,TI/7 (Item 7 from file: 349)

DIALOG(R)File 349:(c) 2005 WIPO/Univentio. All rts. reserv.

01056423

DERIVATIVES HAVING DEMAND-BASED, ADJUSTABLE RETURNS, AND TRADING EXCHANGE THEREFOR

PRODUITS DERIVES PRESENTANT DES RENDEMENTS AJUSTABLES BASES SUR LA DEMANDE ET ECHANGES COMMERCIAUX ASSOCIES

Application: WO 2003US7990 20030313 (PCT/WO US03007990)

24/AN,AZ,TI/8 (Item 8 from file: 349)

DIALOG(R)File 349:(c) 2005 WIPO/Univentio. All rts. reserv.

01054677

SYSTEM AND METHOD FOR CONDUCTING WEB-BASED FINANCIAL TRANSACTIONS IN CAPITAL MARKETS

SYSTEME ET PROCEDE PERMETTANT D'EFFECTUER DES TRANSACTIONS FINANCIERES SUR LE WEB DANS DES MARCHES FINANCIERS

Application: WO 2002US9106 20020322 (PCT/WO US02009106)

24/AN,AZ,TI/9 (Item 9 from file: 349)

DIALOG(R)File 349:(c) 2005 WIPO/Univentio. All rts. reserv.

01005175

SYSTEMS AND METHODS FOR QUOTING A TWO-SIDED MARKET

SYSTEMES ET PROCEDES DESTINES A LA COTATION SUR UN MARCHÉ BILATERAL

Application: WO 2002US33302 20021016 (PCT/WO US0233302)

24/AN,AZ,TI/10 (Item 10 from file: 349)

DIALOG(R)File 349:(c) 2005 WIPO/Univentio. All rts. reserv.

00994559

DIGITAL OPTIONS HAVING DEMAND-BASED, ADJUSTABLE RETURNS, AND TRADING EXCHANGE THEREFOR

OPTIONS NUMERIQUES A RETOURS AJUSTABLES BASEES SUR LA DEMANDE ET BOURSE D'ECHANGES COMMERCIAUX AFFERENTE

Application: WO 2002US30309 20020909 (PCT/WO US0230309)

24/AN,AZ,TI/11 (Item 11 from file: 349)

DIALOG(R)File 349:(c) 2005 WIPO/Univentio. All rts. reserv.

00993598

METHOD AND APPARATUS FOR CONDUCTING FINANCIAL TRANSACTIONS

PROCEDE ET SYSTEME D'EXECUTION DE TRANSACTIONS FINANCIERES

Application: WO 2002US28697 20020910 (PCT/WO US0228697)

24/AN,AZ,TI/12 (Item 12 from file: 349)

DIALOG(R)File 349:(c) 2005 WIPO/Univentio. All rts. reserv.

00964488

SYSTEM AND METHOD FOR AN AUCTION OF MULTIPLE TYPES OF ITEMS

SYSTEME ET PROCEDE D'ENCHERES POUR DES ARTICLES DE TYPES MULTIPLES

Application: WO 2002US16937 20020531 (PCT/WO US0216937)

24/AN,AZ,TI/13 (Item 13 from file: 349)

DIALOG(R)File 349:(c) 2005 WIPO/Univentio. All rts. reserv.

00963501

SECURITIES TRADING SYSTEM WITH MULTIPLE LEVELS-OF-INTEREST
SYSTEME DE TRANSACTION SUR VALEURS PRESENTANT PLUSIEURS NIVEAUX DE TAUX
D'INTERET

Application: WO 2002US16932 20020529 (PCT/WO US02016932)

24/AN,AZ,TI/14 (Item 14 from file: 349)

DIALOG(R)File 349:(c) 2005 WIPO/Univentio. All rts. reserv.

00943767

SYSTEM, METHOD AND COMPUTER PROGRAM PRODUCT FOR A SUPPLY CHAIN MANAGEMENT
SYSTEME, PROCEDE ET PRODUIT PROGRAMME INFORMATIQUE CONCUS POUR UNE GESTION
DE CHAINE D'APPROVISIONNEMENT

Application: WO 2002US8287 20020319 (PCT/WO US02008287)

24/AN,AZ,TI/15 (Item 15 from file: 349)

DIALOG(R)File 349:(c) 2005 WIPO/Univentio. All rts. reserv.

00942062

DIGITAL OPTIONS HAVING DEMAND-BASED, ADJUSTABLE RETURNS, AND TRADING
EXCHANGE THEREFOR

OPTIONS NUMERIQUES COMPORTANT DES RETOURS AJUSTABLES A BASE DE DEMANDE ET
BOURSE D'ECHANGE A CET EFFET

Application: WO 2002US7480 20020311 (PCT/WO US0207480)

24/AN,AZ,TI/16 (Item 16 from file: 349)

DIALOG(R)File 349:(c) 2005 WIPO/Univentio. All rts. reserv.

00935033

PRIMARY PRODUCTS PRODUCTION SYSTEM AND METHOD
SYSTEME DE PRODUCTION DE PRODUITS DE BASE ET PROCEDE ASSOCIE

Application: WO 2002AU195 20020225 (PCT/WO AU0200195)

24/AN,AZ,TI/17 (Item 17 from file: 349)

DIALOG(R)File 349:(c) 2005 WIPO/Univentio. All rts. reserv.

00922112

ANONYMOUS AUCTIONING OF STRUCTURED FINANCIAL PRODUCTS OVER A COMPUTER
NETWORK

VENTE AUX ENCHERES ANONYME DE PRODUITS FINANCIERS STRUCTURES SUR UN RESEAU
INFORMATISE

Application: WO 2002US684 20020111 (PCT/WO US0200684)

24/AN,AZ,TI/18 (Item 18 from file: 349)

DIALOG(R)File 349:(c) 2005 WIPO/Univentio. All rts. reserv.

00886079

COMPUTER TRADING OF FINANCIAL INTERESTS
NEGOCE INFORMATISE D'INTERETS FINANCIERS

Application: WO 2001US27137 20010830 (PCT/WO US0127137)

24/AN,AZ,TI/19 (Item 19 from file: 349)

DIALOG(R)File 349:(c) 2005 WIPO/Univentio. All rts. reserv.

00873785

SYSTEM FOR TRADING FIXED INCOME FINANCIAL INSTRUMENTS : U.S. TREASURY
SECURITIES, LIQUID AGENCIES AND ZERO COUPON STRIPS

SYSTEME DE COMMERCE D'INSTRUMENTS FINANCIERS A REVENUS FIXES: BONS DU
TRESOR DES E.U, TITRES VENDUS SANS COUPON ET TITRES LIQUIDES EMIS PAR
DES ORGANISMES FEDERAUX

Application: WO 2001US21806 20010709 (PCT/WO US0121806)

24/AN,AZ,TI/20 (Item 20 from file: 349)

DIALOG(R)File 349:(c) 2005 WIPO/Univentio. All rts. reserv.

00826121

APPARATUS, METHOD AND PROGRAM FOR A FIXED INCOME TRADING SYSTEM
APPAREIL, PROCEDE ET PROGRAMME POUR SYSTEME DE NEGOCIATION DE VALEURS A
REVENU FIXE

Application: WO 2001US3987 20010208 (PCT/WO US0103987)

24/AN,AZ,TI/21 (Item 21 from file: 349)

DIALOG(R)File 349:(c) 2005 WIPO/Univentio. All rts. reserv.

00815114

METHOD AND SYSTEM FOR REBROKERING ORDERS IN A TRADING SYSTEM
PROCEDE ET SYSTEME DESTINES A RENEGOCIER DES ORDRES DANS UN SYSTEME
D'ECHANGE

Application: WO 2000US35492 20001228 (PCT/WO US0035492)

24/AN,AZ,TI/22 (Item 22 from file: 349)

DIALOG(R)File 349:(c) 2005 WIPO/Univentio. All rts. reserv.

00814137

SYSTEMS AND METHODS FOR PROVIDING A TRADING INTERFACE
SYSTEMES ET PROCEDES POUR FOURNIR UNE INTERFACE DE NEGOCIATION

Application: WO 2000US34958 20001222 (PCT/WO US0034958)

24/AN,AZ,TI/23 (Item 23 from file: 349)

DIALOG(R)File 349:(c) 2005 WIPO/Univentio. All rts. reserv.

00806384

NETWORK AND LIFE CYCLE ASSET MANAGEMENT IN AN E-COMMERCE ENVIRONMENT AND
METHOD THEREOF

GESTION D'ACTIFS DURANT LE CYCLE DE VIE ET EN RESEAU DANS UN ENVIRONNEMENT
DE COMMERCE ELECTRONIQUE ET PROCEDE ASSOCIE

Application: WO 2000US32324 20001122 (PCT/WO US0032324)

24/AN,AZ,TI/24 (Item 24 from file: 349)

DIALOG(R)File 349:(c) 2005 WIPO/Univentio. All rts. reserv.

00806383

COLLABORATIVE CAPACITY PLANNING AND REVERSE INVENTORY MANAGEMENT DURING
DEMAND AND SUPPLY PLANNING IN A NETWORK-BASED SUPPLY CHAIN ENVIRONMENT
AND METHOD THEREOF

PLANIFICATION EN COLLABORATION DES CAPACITES ET GESTION ANTICIPEE DES
STOCKS LORS DE LA PLANIFICATION DE L'OFFRE ET DE LA DEMANDE DANS UN
ENVIRONNEMENT DE CHAINE D'APPROVISIONNEMENT FONDEE SUR LE RESEAU ET
PROCEDE ASSOCIE

Application: WO 2000US32309 20001122 (PCT/WO US0032309)

24/AN,AZ,TI/25 (Item 25 from file: 349)

DIALOG(R)File 349:(c) 2005 WIPO/Univentio. All rts. reserv.

00806382

METHOD FOR AFFORDING A MARKET SPACE INTERFACE BETWEEN A PLURALITY OF

MANUFACTURERS AND SERVICE PROVIDERS AND INSTALLATION MANAGEMENT VIA A
MARKET SPACE INTERFACE
PROCEDE DE MISE A DISPOSITION D'UNE INTERFACE D'ESPACE DE MARCHE ENTRE UNE
PLURALITE DE FABRICANTS ET DES FOURNISSEURS DE SERVICES ET GESTION
D'UNE INSTALLATION VIA UNE INTERFACE D'ESPACE DE MARCHE
Application: WO 2000US32308 20001122 (PCT/WO US0032308)

24/AN,AZ,TI/26 (Item 26 from file: 349)
DIALOG(R)File 349:(c) 2005 WIPO/Univentio. All rts. reserv.

00799890
SYSTEM AND METHOD FOR CONDUCTING WEB-BASED FINANCIAL TRANSACTIONS IN
CAPITAL MARKETS
SYSTEME ET PROCEDE PERMETTANT D'OPERER DES TRANSACTIONS FINANCIERES VIA
L'INTERNET SUR LE MARCHE FINANCIER
Application: WO 2000US30076 20001031 (PCT/WO US0030076)

24/AN,AZ,TI/27 (Item 27 from file: 349)
DIALOG(R)File 349:(c) 2005 WIPO/Univentio. All rts. reserv.

00783278
ELECTRONIC COMMERCE COMMUNICATION SYSTEMS WITH MULTIPLE USER-DEFINE
MARKETPLACES, CONTROLLED PRICING, AND AUTOMATED PURCHASING CAPABILITIES
SYSTEMES DE COMMUNICATION POUR COMMERCE ELECTRONIQUE A MARCHES MULTIPLES
DEFINIS PAR LES UTILISATEURS, A PRIX REGLEMENTES, ET A CAPACITES
D'ACHATS AUTOMATISES
Application: WO 2000US18943 20000712 (PCT/WO US0018943)

24/AN,AZ,TI/28 (Item 28 from file: 349)
DIALOG(R)File 349:(c) 2005 WIPO/Univentio. All rts. reserv.

00774517
FINANCIAL PRODUCTS HAVING DEMAND-BASED, ADJUSTABLE RETURNS, AND TRADING
EXCHANGE THEREFOR
PRODUITS FINANCIERS AYANT DES RECETTES AJUSTABLES, FONCTION DE LA DEMANDE,
ET ECHANGES COMMERCIAUX CORRESPONDANT
Application: WO 2000US19447 20000718 (PCT/WO US0019447)

24/AN,AZ,TI/29 (Item 29 from file: 349)
DIALOG(R)File 349:(c) 2005 WIPO/Univentio. All rts. reserv.

00769409
METHOD AND APPARATUS FOR PROCESSING SECURITIES TRANSACTIONS
PROCEDE ET APPAREIL PERMETTANT DE TRAITER DES TRANSACTIONS PORTANT SUR DES
TITRES
Application: WO 2000US18258 20000630 (PCT/WO US0018258)

24/AN,AZ,TI/30 (Item 30 from file: 349)
DIALOG(R)File 349:(c) 2005 WIPO/Univentio. All rts. reserv.

00761430
SYSTEM, METHOD AND COMPUTER PROGRAM FOR REPRESENTING PRIORITY INFORMATION
CONCERNING COMPONENTS OF A SYSTEM
SYSTEME, METHODE ET ARTICLE FABRIQUE PERMETTANT DE CLASSER PAR ORDRE DE
PRIORITE DES COMPOSANTS D'UNE STRUCTURE DE RESEAU NECESSAIRES A LA MISE
EN OEUVRE D'UNE TECHNIQUE
Application: WO 2000US14406 20000524 (PCT/WO US0014406)

24/AN,AZ,TI/31 (Item 31 from file: 349)

DIALOG(R)File 349:(c) 2005 WIPO/Univentio. All rts. reserv.

00761424

A SYSTEM, METHOD, AND ARTICLE OF MANUFACTURE FOR PHASE DELIVERY OF
COMPONENTS OF A SYSTEM REQUIRED FOR IMPLEMENTATION OF TECHNOLOGY
SYSTEME, PROCEDE ET ARTICLE MANUFACTURE DESTINES A LA FOURNITURE PAR PHASES
DE COMPOSANTS D'UN SYSTEME NECESSAIRES A L'APPLICATION D'UNE TECHNIQUE
Application: WO 2000US14458 20000524 (PCT/WO US0014458)

24/AN,AZ,TI/32 (Item 32 from file: 349)

DIALOG(R)File 349:(c) 2005 WIPO/Univentio. All rts. reserv.

00757121

NETWORK-BASED TRADING SYSTEM AND METHOD
SYSTEME ET PROCEDE D'ECHANGE DE TITRES EN RESEAU
Application: WO 2000US7561 20000322 (PCT/WO US0007561)

24/AN,AZ,TI/33 (Item 33 from file: 349)

DIALOG(R)File 349:(c) 2005 WIPO/Univentio. All rts. reserv.

00738060

INTEGRATED CAPITAL MARKET SYSTEM FOR SMALL ISSUERS, INCLUDING AUCTION
SYSTEME INTEGRE DE MARCHES DES CAPITAUX POUR PETITS EMETTEURS, AVEC
ENCHERES
Application: WO 2000US3493 20000210 (PCT/WO US0003493)

24/AN,AZ,TI/34 (Item 34 from file: 349)

DIALOG(R)File 349:(c) 2005 WIPO/Univentio. All rts. reserv.

00574720

AUTOMATED PRICE IMPROVEMENT PROTOCOL PROCESSOR
PROCESSEUR POUR PROTOCOLE D'AMELIORATION AUTOMATISEE DE LA FIXATION DES
PRIX
Application: WO 99US26154 19991105 (PCT/WO US9926154)

24/AN,AZ,TI/35 (Item 35 from file: 349)

DIALOG(R)File 349:(c) 2005 WIPO/Univentio. All rts. reserv.

00510335

METHOD, SYSTEM, AND COMPUTER PROGRAM PRODUCT FOR TRADING INTEREST RATE
SWAPS
PROCEDE, SYSTEME ET PRODUIT DE PROGRAMMATION INFORMATIQUE POUR EFFECTUER
DES OPERATIONS DE TROCS DE TAUX D'INTERET
Application: WO 99US1872 19990212 (PCT/WO US9901872)

24/AN,AZ,TI/36 (Item 36 from file: 349)

DIALOG(R)File 349:(c) 2005 WIPO/Univentio. All rts. reserv.

00489763

DEVICE AND APPLICATION FOR FACILITATING, MAKING MORE EFFICIENT AND
CONTROLLING THE REPLENISHING AND REMOVAL OF GOODS (ARTICLES) IN
PREMISES, PARTICULARLY IN SHOPS
DISPOSITIF ET APPLICATION PERMETTANT DE FACILITER, DE RENDRE PLUS EFFICACE
ET DE COMMANDER LE REAPPROVISIONNEMENT ET L'ENLEVEMENT DE MARCHANDISES
(ARTICLES) DANS DES LOCAUX, NOTAMMENT DANS DES MAGASINS
Application: WO 98SE504 19980320 (PCT/WO SE9800504)

24/AN,AZ,TI/37 (Item 37 from file: 349)

DIALOG(R)File 349:(c) 2005 WIPO/Univentio. All rts. reserv.

00423315

COMPUTER AIDED RISK MANAGEMENT IN MULTIPLE-PARAMETER PHYSICAL SYSTEMS
GESTION DES RISQUES ASSISTEE PAR ORDINATEUR DANS DES SYSTEMES PHYSIQUES A
PARAMETRES MULTIPLES

Application: WO 97US16446 19970916 (PCT/WO US9716446)

24/AN,AZ,TI/38 (Item 38 from file: 349)

DIALOG(R)File 349:(c) 2005 WIPO/Univentio. All rts. reserv.

00368313

ANONYMOUS TRADING SYSTEM WITH IMPROVED QUOTE INPUT CAPABILITIES
SYSTEME D'ECHANGE COMMERCIAL ANONYME A POSSIBILITES AMELIOREES
D'INTRODUCTION DE COTATION

Application: WO 96US14086 19960828 (PCT/WO US9614086)

24/AN,AZ,TI/39 (Item 39 from file: 349)

DIALOG(R)File 349:(c) 2005 WIPO/Univentio. All rts. reserv.

00207478

TRANSACTION PROCESSOR
PROCESSEUR DE TRANSACTIONS

Application: WO 91US6279 19910830 (PCT/WO US9106279)

?show files;ds

File 9:Business & Industry(R) Jul/1994-2005/Feb 03

(c) 2005 The Gale Group

File 15:ABI/Inform(R) 1971-2005/Feb 05

(c) 2005 ProQuest Info&Learning

File 16:Gale Group PROMT(R) 1990-2005/Feb 04

(c) 2005 The Gale Group

File 148:Gale Group Trade & Industry DB 1976-2005/Feb 03

(c)2005 The Gale Group

File 160:Gale Group PROMT(R) 1972-1989

(c) 1999 The Gale Group

File 275:Gale Group Computer DB(TM) 1983-2005/Feb 04

(c). 2005 The Gale Group

Full Text NPL

Set Items Description

S1 13695724 TRADING OR TRADE?? OR EXCHANGE OR SELL??? OR SALE? ? OR BU-
Y???

S2 51992 (FINANCIAL OR INVESTMENT OR INSTRUMENT? ? OR PRODUCT? ?)(1-
ON)(TREASURY OR ZERO()COUPON OR STRIP OR SEPARATE()TRADING(2W-
)REGISTERED)

S3 5882895 CURRENT OR REALTIME OR (REAL OR ACTUAL)()TIME OR (NO OR "N-
OT" OR WITHOUT OR WITH()OUT)(2W)(DELAY OR WAIT??? OR PENDENCY
OR LAG OR TIMELAG) OR INSTANT OR DYNAMIC OR LIVE OR UP(3W)MIN-
UTE OR UPDAT? OR UP()DAT??? OR ADAPTIV?

S4 6132835 IMPROVE? ? OR IMPROVEMENT OR BETTER OR CORRECT??? OR REVIS-
??? OR EMEND? OR AMEND?

S5 550155 DERIVED OR EXECUTION

S6 11170579 PRICE? ? OR PRICING OR MONEY OR DOLLAR? ? OR COST? ?

S7 416 OFFSETTING() (TRADE? ? OR OPERATION? ? OR INTERACTION? ? OR
DEALING? ? OR ACTION? ? OR TRADING OR TRANSACTION? ? OR EXCHA-
NG??? OR DEAL? ?)

S8 5904 S1(10N)S2

S9 155 S3(10N)S8

S10 204388 S4(3W)S6

S11 0 S7(10N)S10

S12 1566 S5()S6

S13 0 S9(S)S11(S)S12

S14 12 S9 AND (S7 OR S10 OR S12)

S15 13 S8(S)(S7 OR S10 OR S12)

S16 25 S14 OR S15

S17 14 S16 NOT PY>2000

S18 14 S17 NOT PD=20000718:20050331

S19 12 RD (unique items)

19/3,K/2 (Item 2 from file: 15)
DIALOG(R)File 15:ABI/Inform(R)
(c) 2005 ProQuest Info&Learning. All rts. reserv.

01143245 97-92639

Hong Kong

Anonymous

AsiaMoney Cash Management Guide Supplement PP: 23 Oct 1995

ISSN: 0958-9309 JRNL CODE: AMF

WORD COUNT: 523

...TEXT: the prospect of lower net interest margins means future profit growth will depend heavily on **improved** efficiency, effective **cost** control, as well as further efforts to diversify into shorter-term financing and non-interest income business. This non-interest income business should take the form of **treasury** and foreign **exchange** operations, brokering, **investment** banking and credit card business. It will also include offering cash management services.

The development...

X

19/3,K/3 (Item 3 from file: 15)
DIALOG(R)File 15:ABI/Inform(R)
(c) 2005 ProQuest Info&Learning. All rts. reserv.

01143240 97-92634

Corporate treasury workstations offer instant cash control and higher profits

Anonymous

AsiaMoney Cash Management Guide Supplement PP: 10-13 Oct 1995

ISSN: 0958-9309 JRNL CODE: AMF

WORD COUNT: 2218

...TEXT: spot and forward contracts, as well as the associated payables and receivables. The system handles **offsetting trades** and cross-rate deals, and can update spot and forward rates, monitor contract performance and...

...the details of individual trades and the bidding performance by the firm's banks in **current** and outstanding contracts. Information generated in the foreign **exchange** area also flows automatically to other components of the **treasury** workstation

Debt and **investment** management

An integrated **treasury** management system may be essential for a company that manages a large portfolio -- one that...

19/3,K/9 (Item 2 from file: 148)
DIALOG(R)File 148:Gale Group Trade & Industry DB
(c)2005 The Gale Group. All rts. reserv.

08967452 SUPPLIER NUMBER: 18694458

Courage flags as the wolves gather. (US financial markets)

Authers, John

Financial Times, n33082, pWFT2(1)

Sep 7, 1996

ISSN: 0307-1766

LANGUAGE: English

RECORD TYPE: Abstract

...ABSTRACT: indicates that a correction in share prices may occur. Employment data led to an initial **sell** reaction which affected **Treasury** bonds. This reaction was reversed over half an hour. **Financial** markets are also concerned about political risk, especially since Bob Dole has pledged tax cuts...

19/3,K/12 (Item 1 from file: 275)
DIALOG(R)File 275:Gale Group Computer DB(TM)
(c) 2005 The Gale Group. All rts. reserv.

01909868 SUPPLIER NUMBER: 18075081
IRS to tap GSA for TDPI. (pilot IRS procurement to use General Services
Administration schedule contract through the Treasury Distributed
Infrastructure program) (Government Activity)

Varon, Elana

Federal Computer Week, v10, n5, p56(2)

March 4, 1996

ISSN: 0893-052X LANGUAGE: English RECORD TYPE: Abstract

...ABSTRACT: GSA schedules. The approach will also enable the IRS to
switch vendors midstream if a **better price** is found. The agency will
buy the **products** and services through the **Treasury** Distributed
Infrastructure (TDPI) program, which may be the largest procurement program
to use the GSA...

19/AA,AN,TI/1 (Item 1 from file: 15)
DIALOG(R)File 15:(c) 2005 ProQuest Info&Learning. All rts. reserv.

01918034 05-69026

The myth of procedure: Derivatives investment reform in St. Petersburg

19/AA,AN,TI/2 (Item 2 from file: 15)
DIALOG(R)File 15:(c) 2005 ProQuest Info&Learning. All rts. reserv.

01143245 97-92639

Hong Kong

19/AA,AN,TI/3 (Item 3 from file: 15)
DIALOG(R)File 15:(c) 2005 ProQuest Info&Learning. All rts. reserv.

01143240 97-92634

Corporate treasury workstations offer instant cash control and higher profits

19/AA,AN,TI/4 (Item 4 from file: 15)
DIALOG(R)File 15:(c) 2005 ProQuest Info&Learning. All rts. reserv.

01133031 97-82425

Instinet's identity crisis

19/AA,AN,TI/5 (Item 1 from file: 16)
DIALOG(R)File 16:(c) 2005 The Gale Group. All rts. reserv.

06535631 Supplier Number: 55341510

Allied Irish Banks, p.l.c. Interim Results 30 June 1999.

19/AA,AN,TI/6 (Item 2 from file: 16)
DIALOG(R)File 16:(c) 2005 The Gale Group. All rts. reserv.

04424889 Supplier Number: 46491984

CORPORATE PERFORMANCE REVIEW 1995: OLIN CORP.

19/AA,AN,TI/7 (Item 3 from file: 16)
DIALOG(R)File 16:(c) 2005 The Gale Group. All rts. reserv.

02886376 Supplier Number: 43893716

Perfect partners: powder in perspective

19/AA,AN,TI/8 (Item 1 from file: 148)
DIALOG(R)File 148:(c)2005 The Gale Group. All rts. reserv.

10986275 SUPPLIER NUMBER: 54482521

Liquidity and maturity effects around news releases.

19/AA,AN,TI/9 (Item 2 from file: 148)
DIALOG(R)File 148:(c)2005 The Gale Group. All rts. reserv.

08967452 SUPPLIER NUMBER: 18694458

Courage flags as the wolves gather. (US financial markets)

19/AA,AN,TI/10 (Item 3 from file: 148)

DIALOG(R)File 148:(c)2005 The Gale Group. All rts. reserv.

06505931 SUPPLIER NUMBER: 14335697

Perfect partners: powder in perspective. (powder coatings) (Industry Overview)

19/AA,AN,TI/11 (Item 4 from file: 148)

DIALOG(R)File 148:(c)2005 The Gale Group. All rts. reserv.

03900038 SUPPLIER NUMBER: 06967948

Second Annual Directory of Human Resources Services, Products and Suppliers, January 1989. (directory)

19/AA,AN,TI/12 (Item 1 from file: 275)

DIALOG(R)File 275:(c) 2005 The Gale Group. All rts. reserv.

01909868 SUPPLIER NUMBER: 18075081

IRS to tap GSA for TDPI. (pilot IRS procurement to use General Services Administration schedule contract through the Treasury Distributed Infrastructure program) (Government Activity)

?show files;ds

File 476:Financial Times Fulltext 1982-2005/Feb 07
(c) 2005 Financial Times Ltd
File 610:Business Wire 1999-2005/Feb 07
(c) 2005 Business Wire.
File 613:PR Newswire 1999-2005/Feb 07
(c) 2005 PR Newswire Association Inc
File 621:Gale Group New Prod.Annou.(R) 1985-2005/Feb 04
(c) 2005 The Gale Group
File 624:McGraw-Hill Publications 1985-2005/Feb 07
(c) 2005 McGraw-Hill Co. Inc
File 634:San Jose Mercury Jun 1985-2005/Feb 05
(c) 2005 San Jose Mercury News
File 636:Gale Group Newsletter DB(TM) 1987-2005/Feb 04
(c) 2005 The Gale Group
File 810:Business Wire 1986-1999/Feb 28
(c) 1999 Business Wire
File 813:PR Newswire 1987-1999/Apr 30
(c) 1999 PR Newswire Association Inc

Set	Items	Description
S1	7485901	TRADING OR TRADE?? OR EXCHANGE OR SELL??? OR SALE? ? OR BU- Y???
S2	33873	(FINANCIAL OR INVESTMENT OR INSTRUMENT? ? OR PRODUCT? ?)(1- ON)(TREASURY OR ZERO()COUPON OR STRIP OR SEPARATE()TRADING(2W-)REGISTERED)
S3	4161072	CURRENT OR REALTIME OR (REAL OR ACTUAL)()TIME OR (NO OR "N- OT" OR WITHOUT OR WITH()OUT)(2W)(DELAY OR WAIT??? OR PENDENCY OR LAG OR TIMELAG) OR INSTANT OR DYNAMIC OR LIVE OR UP(3W)MIN- UTE OR UPDAT? OR UP()DAT??? OR ADAPTIV?
S4	3805876	IMPROVE? ? OR IMPROVEMENT OR BETTER OR CORRECT??? OR REVIS- ??? OR EMEND? OR AMEND?
S5	336700	DERIVED OR EXECUTION
S6	6686672	PRICE? ? OR PRICING OR MONEY OR DOLLAR? ? OR COST? ?
S7	214	OFFSETTING()(TRADE? ? OR OPERATION? ? OR INTERACTION? ? OR DEALING? ? OR ACTION? ? OR TRADING OR TRANSACTION? ? OR EXCHA- NG??? OR DEAL? ?)
S8	4041	S1(10N)S2
S9	97	S3(10N)S8
S10	109891	S4(3W)S6
S11	0	S7(10N)S10
S12	803	S5()S6
S13	0	S9(S)S11(S)S12
S14	4	S9 AND (S7 OR S10 OR S12)
S15	308311	S4(5N)S6
S16	11708	S5(5N)S6
S17	77	S8(S)(S7 OR S15 OR S16)
S18	18	S17 NOT PY>2000
S19	9	S18 NOT PD=20000718:20050331
S20	9	RD (unique items)

20/3,K/1 (Item 1 from file: 476)
DIALOG(R)File 476:Financial Times Fulltext
(c) 2005 Financial Times Ltd. All rts. reserv.

0002517551 BOCBZCBAFCFT

London Stock Exchange: Market Report - Optimism slowly returns and equities close on strong note with index up 9.1 at 812.1

Financial Times, P 29

Wednesday, February 15, 1984

DOCUMENT TYPE: NEWSPAPER LANGUAGE: ENGLISH RECORD TYPE: FULLTEXT

Word Count: 400

...8.7 up at a day's high of 1,027.0.

Sterling's late **improvement** against the **dollar** ensured Gilt-edged securities of a firm close. Earlier, business had been sporadic with investors...

...a little short of funds through Monday's Pounds 450m call on the partly-paid **Treasury** 10 per cent Convertible 1990. This **investment** area, too, continued higher in the after-hours' **trade** during which longer-dated Gilts were showing gains of 1/2 and sometimes more.

Brixton...

20/3,K/9 (Item 1 from file: 636)
DIALOG(R)File 636:Gale Group Newsletter DB(TM)
(c) 2005 The Gale Group. All rts. reserv.

04001849 Supplier Number: 53146316 (USE FORMAT 7 FOR FULLTEXT)

More Intense Internet Oversight Sought.

Regulatory Compliance Watch, v9, n411, pNA

Oct 26, 1998

Language: English Record Type: Fulltext

Document Type: Newsletter; Trade

Word Count: 633

(USE FORMAT 7 FOR FULLTEXT)

TEXT:

...and rules governing truth in lending, fair housing, expedited funds availability act and guidelines on **sales** of nondeposit **investment products** . It was prepared by the Department of the **Treasury** 's Office of Inspector General. The report is just the first in the OIG's...

...line and Internet banking, thrifts can reach new and distant customers to increase assets and **improve** operating efficiencies without the high **cost** of traditional banking," the report said. "Admittedly still in its infancy, on-line and Internet..."

20/AA,AN,TI/1 (Item 1 from file: 476)
DIALOG(R)File 476:(c) 2005 Financial Times Ltd. All rts. reserv.

BOCBZCB AFCFT

London Stock Exchange: Market Report - Optimism slowly returns and equities
close on strong note with index up 9.1 at 812.1

20/AA,AN,TI/2 (Item 1 from file: 610)
DIALOG(R)File 610:(c) 2005 Business Wire. All rts. reserv.

20000621173B7058
S.W.I.F.T. Accelerates Momentum

20/AA,AN,TI/3 (Item 2 from file: 610)
DIALOG(R)File 610:(c) 2005 Business Wire. All rts. reserv.

20000615167B2661
S.W.I.F.T. Board Elects New Chairman

20/AA,AN,TI/4 (Item 3 from file: 610)
DIALOG(R)File 610:(c) 2005 Business Wire. All rts. reserv.

20000510131B8118
S.W.I.F.T. and FIX to Co-operate on Securities Standards

20/AA,AN,TI/5 (Item 4 from file: 610)
DIALOG(R)File 610:(c) 2005 Business Wire. All rts. reserv.

20000403094B7631
S.W.I.F.T. accepts broker-dealers and investment managers as full members

20/AA,AN,TI/6 (Item 1 from file: 621)
DIALOG(R)File 621:(c) 2005 The Gale Group. All rts. reserv.

01480393 Supplier Number: 47065199
Brush Wellman reports 21% increase in earnings on all-time record sales in
1996.

20/AA,AN,TI/7 (Item 1 from file: 624)
DIALOG(R)File 624:(c) 2005 McGraw-Hill Co. Inc. All rts. reserv.

0723545
SALE OF ELK HILLS RESERVE STALLS; DOE STILL DETERMINED TO UNLOAD IT

20/AA,AN,TI/8 (Item 1 from file: 634)
DIALOG(R)File 634:(c) 2005 San Jose Mercury News. All rts. reserv.

10066094
LOTTO WINNER KNOWS THE TIME VALUE OF MONEY

20/AA,AN,TI/9 (Item 1 from file: 636)
DIALOG(R)File 636:(c) 2005 The Gale Group. All rts. reserv.

04001849 Supplier Number: 53146316
More Intense Internet Oversight Sought.

?show files;ds

File 267:Finance & Banking Newsletters 2005/Feb 01

(c) 2005 The Dialog Corp.

File 268:Banking Info Source 1981-2005/Jan W4

(c) 2005 ProQuest Info&Learning

File 625:American Banker Publications 1981-2005/Feb 07

(c) 2005 American Banker

File 626:Bond Buyer Full Text 1981-2005/Feb 07

(c) 2005 Bond Buyer

File 13:BAMP 2005/Jan W5

(c) 2005 The Gale Group

File 75:TGG Management Contents(R) 86-2005/Jan W4

(c) 2005 The Gale Group

File 990:NewsRoom Current Nov 1 -2005/Feb 07

(c) 2005 The Dialog Corporation

Set	Items	Description
S1	2677143	TRADING OR TRADE?? OR EXCHANGE OR SELL??? OR SALE? ? OR BUY???
S2	18442	(FINANCIAL OR INVESTMENT OR INSTRUMENT? ? OR PRODUCT? ?)(1-ON)(TREASURY OR ZERO()COUPON OR STRIP OR SEPARATE()TRADING(2W-)REGISTERED)
S3	1647717	CURRENT OR REALTIME OR (REAL OR ACTUAL)()TIME OR (NO OR "NOT" OR WITHOUT OR WITH()OUT)(2W)(DELAY OR WAIT??? OR PENDENCY OR LAG OR TIMELAG) OR INSTANT OR DYNAMIC OR LIVE OR UP(3W)MINUTE OR UPDAT? OR UP()DAT??? OR ADAPTIV?
S4	1697777	IMPROVE? ? OR IMPROVEMENT OR BETTER OR CORRECT??? OR REVIS-??? OR EMEND? OR AMEND?
S5	141005	DERIVED OR EXECUTION
S6	2729628	PRICE? ? OR PRICING OR MONEY OR DOLLAR? ? OR COST? ?
S7	103	OFFSETTING() (TRADE? ? OR OPERATION? ? OR INTERACTION? ? OR DEALING? ? OR ACTION? ? OR TRADING OR TRANSACTION? ? OR EXCHANGING??? OR DEAL? ?)
S8	2278	S1(10N)S2
S9	32	S3(10N)S8
S10	34433	S4(3W)S6
S11	0	S7(10N)S10
S12	495	S5()S6
S13	0	S9(S)S11(S)S12
S14	0	S9(S)(S7 OR S10 OR S12)
S15	68	S8 AND (S7 OR S10 OR S12)
S16	19	S8(S)(S7 OR S10 OR S12)
S17	92814	S4(5N)S6
S18	6687	S5(5N)S6
S19	32	S8(S)(S7 OR S17 OR S18)
S20	81	S15 OR S19
S21	42	S20 NOT PY>2000
S22	42	S21 NOT PD=20000718:20050331
S23	40	RD (unique items)

23/3,K/1 (Item 1 from file: 267)
DIALOG(R)File 267:Finance & Banking Newsletters
(c) 2005 The Dialog Corp. All rts. reserv.

04537953

Corporate Treasury, Siemens the financial engineer
Euromoney Magazine
August 10, 1998 PAGE: 65, 066 DOCUMENT TYPE: NEWSLETTER
PUBLISHER: EUROMONEY ELECTRONIC PUBLICATIONS
LANGUAGE: ENGLISH WORD COUNT: 2289 RECORD TYPE: FULLTEXT

(c) EUROMONEY ELECTRONIC PUBLICATIONS All Rts. Reserv.

TEXT:

...Ahlefeld, head of Siemens' corporate finance and treasury. SFS's main business is leasing and **sales** finance for all group companies, while the **treasury** and corporate finance department pools **financial** commitments and receipts, hedges interest and currency risks and manages credit risk for the entire...

...tiny baby learning to walk," says
Ahlefeld.

Later on, the idea is to generate more **money** by managing credit portfolios **better**, by using credit derivatives and possibly by securitizing assets. "Financial expertise will be set free..."

23/3,K/3 (Item 1 from file: 268)
DIALOG(R)File 268:Banking Info Source
(c) 2005 ProQuest Info&Learning. All rts. reserv.

00377913 47659642 (USE FORMAT 7 OR 9 FOR FULLTEXT)
The Treasury securities market: Overview and recent developments
Dupont, Dominique; Sack, Brian
Federal Reserve Bulletin, v85, n12, p785-806, Dec 1999 DOCUMENT TYPE:
Periodical; Feature LANGUAGE: English RECORD TYPE: Fulltext
WORD COUNT: 14,627

(USE FORMAT 7 OR 9 FOR FULLTEXT)

The heavy **trading** is an indication of the pivotal role of U.S. **Treasury** securities in world **financial** markets. Investors of many types-commercial banks, investment banks, money market funds, insurance companies, individual...futures contracts are offered on the Cantor Financial Futures Exchange. In addition, the Chicago Mercantile **Exchange** offers options and futures on various **Treasury** bills and other short-term interest rate **products**.

As of the end of October 1999, open interest for CBOT long-bond futures (that...

...Most positions in these futures contracts are closed out prior to delivery by entering into **offsetting trades**, and delivery does not take place. However, the possibility of delivery links the price of...some investors, others may prefer securities offering a single payment when the security matures.. These **instruments**, called **zero - coupon** securities, **sell** at a discount to their face value, allowing investors to realize a return from the...

...in zero-coupon securities was evident long before the advent of the STRIPS program. Several **investment** banks began holding **Treasury** securities with custodians in special trust accounts and **selling** the components of those securities to investors. The STRIPS program made this process more efficient...

23/3,K/4 (Item 2 from file: 268)
DIALOG(R)File 268:Banking Info Source
(c) 2005 ProQuest Info&Learning. All rts. reserv.

00373771 (USE FORMAT 7 OR 9 FOR FULLTEXT)

The new battleground

Currie, Antony
Euromoney, v365, p52-66, Sep 1999 DOCUMENT TYPE: Journal Article
LANGUAGE: English RECORD TYPE: Abstract Fulltext
WORD COUNT: 06722

(USE FORMAT 7 OR 9 FOR FULLTEXT)

... to secure a degree of influence over the systems that either do, or will, offer **better pricing** and execution than traditional methods. The same is true of inter-dealer bond-broking systems...and JP Morgan being the other two.

Tradeweb is an internet-based system designed for **trading US treasury products**; it lists the brokers' prices side by side. John Roberts, a managing director in Barclays...

23/3,K/5 (Item 3 from file: 268)
DIALOG(R)File 268:Banking Info Source
(c) 2005 ProQuest Info&Learning. All rts. reserv.

00325431 (USE FORMAT 7 OR 9 FOR FULLTEXT)

Going with the flow

Muehring, Kevin
Institutional Investor, v31, n11, p123-127, Nov 1997 DOCUMENT TYPE: Journal Article
LANGUAGE: English RECORD TYPE: Abstract Fulltext
WORD COUNT: 01123

(USE FORMAT 7 OR 9 FOR FULLTEXT)

...ABSTRACT: Merrill swept a record 13 categories in the rankings. The firm laid claim to top **trading** house in all 4 **Treasury** and agency paper categories, asset-based securities, **investment** -grade corporate bonds, commercial paper, municipal bonds, Yankee bonds, both fixed-income swaps and options and in the quality of both **pricing** and **execution** and advice or strategy. Lehman Brothers held on to the No. 2 spot, largely on...

... a record 13 categories in II's expanded rankings. The firm laid claim to top **trading** house in all four **Treasury** and agency paper categories, asset-backed securities, **investment** -grade corporate bonds, commercial paper, municipal bonds, Yankee bonds, both fixed-income swaps and options and in the quality of both **pricing** and **execution** and advice or strategy.

Lehman Brothers held on to the No. 2 spot, largely on...

23/3,K/6 (Item 4 from file: 268)
DIALOG(R)File 268:Banking Info Source
(c) 2005 ProQuest Info&Learning. All rts. reserv.

00302577 (USE FORMAT 7 OR 9 FOR FULLTEXT)

Tech notes

Talmor, Sharona
Banker, v146, n850, p89-90, Dec 1996 DOCUMENT TYPE: Journal Article
LANGUAGE: English RECORD TYPE: Abstract Fulltext
WORD COUNT: 01351

(USE FORMAT 7 OR 9 FOR FULLTEXT)

... expanding its interests to the US. Frustrum, a privately owned company, develops software for the **treasury** and capital markets departments of banks. Its flagship **product**, Opics, provides front and backoffice processing for foreign **exchange**, fixed income, equities and

other financial instruments. It also includes credit management and profit analysis...

...reduced administrative costs, better administrative control, fewer transcription errors, better use of inventory, better security, **better** tracking, reduced communications **costs** and reduced risk of fraud.

After a successful pilot, which ended in mid-September...

23/3,K/7 (Item 5 from file: 268)

DIALOG(R)File 268:Banking Info Source

(c) 2005 ProQuest Info&Learning. All rts. reserv.

00277610 (USE FORMAT 7 OR 9 FOR FULLTEXT)

Psyching out the bond market

Peltz, Michael

Institutional Investor, v29, n12, p63-68, Dec 1995 DOCUMENT TYPE: Journal

Article LANGUAGE: English RECORD TYPE: Abstract Fulltext

WORD COUNT: 02042

(USE FORMAT 7 OR 9 FOR FULLTEXT)

...ABSTRACT: than Merrill Lynch & Co., according to Institutional Investor's first annual ranking of fixed-income **traders**. Merrill Lynch ranks No. 1 in **trading** short-term Treasuries, **Treasury** strips, **investment**-grade corporate bonds, municipal bonds and asset-backed corporate bonds, municipal bonds and asset-backed...

... **traders**. In addition to claiming the top spot overall, Merrill Lynch ranks No. 1 in **trading** short-term Treasuries, **Treasury** strips, **investment**-grade corporate bonds, municipal bonds and asset-backed securities, and it finishes no lower than...MacDougall. BlackRock's Kapito, a longtime mortgage investor, is more cynical. "It has become politically **correct** to lose **money** in corporates rather than in mortgages," he quips. The mortgage market has in fact been...

23/3,K/8 (Item 6 from file: 268)

DIALOG(R)File 268:Banking Info Source

(c) 2005 ProQuest Info&Learning. All rts. reserv.

00259535 (USE FORMAT 7 OR 9 FOR FULLTEXT)

Funds-transfer-pricing methods: Choices and tradeoffs

Webb, Geoffrey R

Bank Accounting & Finance, v8, n1, p23-29, Fall 1994 DOCUMENT TYPE:

Journal Article LANGUAGE: English RECORD TYPE: Abstract Fulltext

WORD COUNT: 03655

(USE FORMAT 7 OR 9 FOR FULLTEXT)

How can financial institutions **correctly price** a product without understanding the cost and cost-bearing characteristics of each product? It is...authority to manage.

The bank can move a variety of risks from the branch to **treasury** or the asset/liability management unit by "**selling**" **products** originated in branches to another unit that actually manages the risk. These sales are generally...

23/3,K/9 (Item 7 from file: 268)

DIALOG(R)File 268:Banking Info Source

(c) 2005 ProQuest Info&Learning. All rts. reserv.

00253095 (USE FORMAT 7 OR 9 FOR FULLTEXT)

Denmark

Anonymous

Euromoney, v1994, p16-19, Sep 1994 DOCUMENT TYPE: Journal Article

LANGUAGE: English RECORD TYPE: Abstract Fulltext
WORD COUNT: 02382

(USE FORMAT 7 OR 9 FOR FULLTEXT)

... important factor behind the establishment of an organized interbank market for collateralized lending based on **treasury** bills.

Derivative instruments

The official **trading** place for futures and options on Danish government bonds is the CSE. Contracts that are...for government deficits were disappointing, but suggest that they may be subject to some downward **revision**.

Although consumer **price** inflation has rebounded from the low recorded in mid-1993, inflation is still modest by...

23/3,K/10 (Item 1 from file: 625)

DIALOG(R)File 625:American Banker Publications
(c) 2005 American Banker. All rts. reserv.

0243311

*** Comment: Measure Performance Like an Investor**

American Banker - November 5, 1999; Pg. 4; Vol. 164, No. 214

DOCUMENT TYPE: Journal LANGUAGE: English RECORD TYPE: Fulltext
WORD COUNT: 1,097

BYLINE:

By GEOFFREY NICHOLSON, HANS WEISS, AND DANIEL STELTER

TEXT:

...peers.

Those firms that can sustain a position in the top tier will command a **better price** for their shares: a powerful currency for acquisitions in a consolidating industry.

A high and...

...fact making

little or no economic profit.

That insight persuaded the bank to securitize and **sell** off many **treasury** assets, freeing up capital for **investment** in more productive parts of its business.

Banks should adopt certain fundamental approaches as they...

23/3,K/15 (Item 6 from file: 625)

DIALOG(R)File 625:American Banker Publications
(c) 2005 American Banker. All rts. reserv.

0010963

Options Trading on Treasury Securities Begins Oct. 22: Market Will Be in Actual Cash Instruments, Not Futures

American Banker - October 13, 1982, Wednesday; Pg. 2

WORD COUNT: 1,017

BYLINE:

By JOHN MORRIS

Options Trading on Treasury Securities Begins Oct. 22: Market Will Be in Actual Cash Instruments, Not Futures

TEXT:

... delivered at set times, and can be cancelled through delivery or netted out by an **offsetting transaction**, in which case delivery is not made. But they are traded on margin -- in other...

23/3,K/17 (Item 1 from file: 626)
DIALOG(R)File 626:Bond Buyer Full Text
(c) 2005 Bond Buyer. All rts. reserv.

0175719

**News and Trends: Payden & Rygel Says It Has Just the Service as New
Reporting Standards Kick In**

The Bond Buyer - March 18, 1996; Pg. 27; Vol. 315, No. 29857
Word Count: 591

BYLINE:

By David Kaplan

TEXT:

...expressing interest in the service.

"Treasury Plus services are a tool to help local governments **better** manage their **money** ," Matthews said. "Especially in light of new legislation calling for more reporting, we're just...

...do not manage the portfolio. This is a service for local governments who have their **investment** staffs internally in place. Clients can access **Treasury** Plus' systems for their **trades** and we'll do the analytics and pricing for them."

Payden & Rygel had always offered...

23/3,K/23 (Item 7 from file: 626)
DIALOG(R)File 626:Bond Buyer Full Text
(c) 2005 Bond Buyer. All rts. reserv.

0088244

**Bond Futures Prices Post Steep Declines; Slip in Dow Revives Short Term
Contracts**

The Bond Buyer - April 15, 1988; Pg. 2(214); Vol. 284, No. 27869
Word Count: 646

BYLINE:

By Joanne Kelley

TEXT:

...recovered from the early blow dealt by an unexpected widening in the February trade deficit.

Money market contracts, however, **improved** toward the end of the session as stock market weakness fueled **buying** in short-term credit market **instruments** .

Treasury bond futures skidded nearly two points by midday in response to the \$13.83 billion...

23/3,K/31 (Item 2 from file: 13)
DIALOG(R)File 13:BAMP
(c) 2005 The Gale Group. All rts. reserv.

1074605 Supplier Number: 01372841 (USE FORMAT 7 OR 9 FOR FULLTEXT)

Heated Exchanges

(The world's established stock exchanges are facing off against each other, upstarts, and obsolescence)

Article Author(s): Leander, Ellen

Treasury & Risk Management, v 8, n 1, p 30-36
January 1998
DOCUMENT TYPE: Journal ISSN: 1067-0432 (United States)
LANGUAGE: English RECORD TYPE: Fulltext; Abstract
WORD COUNT: 3251

(USE FORMAT 7 OR 9 FOR FULLTEXT)

TEXT:

...instrument transactions. "We need a product that fits perfectly."

He adds that he always gets **better pricing** from OTC dealers because he is able to comparison shop among them.

The One-Stop...

...the exchange's largely investment-bank membership is deadset against a move to clearing OTC **products**. **Trading** in U.S. **Treasury** bills, notes, bonds and repurchase agreements is slated to begin later this year, but trading...

23/3,K/32 (Item 3 from file: 13)
DIALOG(R)File 13:BAMP
(c) 2005 The Gale Group. All rts. reserv.

1060426 Supplier Number: 01108805 (USE FORMAT 7 OR 9 FOR FULLTEXT)

Derivatives secrets

(Financial executives are continuing to find benefits in the expanded use of derivatives)

Article Author(s): Leander, Ellen
Treasury & Risk Management, v 7, n 5, p 20-25
July 1997

DOCUMENT TYPE: Journal ISSN: 1067-0432 (United States)
LANGUAGE: English RECORD TYPE: Fulltext; Abstract
WORD COUNT: 3486

(USE FORMAT 7 OR 9 FOR FULLTEXT)

TEXT:

...Greater disclosure	17.9	38.5
More assistance from financial institution	16.7	25.6
Better pricing	15.7	35.9
Lower costs	12.4	28.2

a Based on derivatives non...

...with his insurer that would cover more traditional areas like catastrophic as well as more **financial** risks, like foreign **exchange** (see page 26).

Does Your **Treasury** Department Operate As A Profit Center?

	Yes
1993	20.0%
1995	10.0%
1997	7...years ago, respondents said they would need greater disclosure from financial institutions (38.5%) and better pricing (35.9%) from those vendors. In contrast, today a full 34.5% checked the "other..."

23/3,K/33 (Item 1 from file: 75)
DIALOG(R)File 75:TGG Management Contents(R)
(c) 2005 The Gale Group. All rts. reserv.

00228152 SUPPLIER NUMBER: 58386291 (USE FORMAT 7 FOR FULL TEXT)
Nasdaq and The Chicago Stock Exchange: An Analysis of Multiple Market

Trading. (Statistical Data Included)

Van Ness, Bonnie F.; Van Ness, Robert A.; Hsieh, Wen-Liang
The Financial Review, 34, 4, 145
Nov, 1999
DOCUMENT TYPE: Statistical Data Included ISSN: 0732-8516
LANGUAGE: English RECORD TYPE: Fulltext
WORD COUNT: 5425 LINE COUNT: 00556

XX

... if trading costs and price improvement differ between the two markets. We find that order **execution costs**, which we define by the traded spread and the signed effective half-spread, are significantly...

...also trade on the regionals and in the third market. Blume and Goldstein (1992) compare **execution prices** of NYSE-listed stocks on the NYSE and on non-NYSE markets. They find that...that price executions differ systematically by location. He finds that Nasdaq offers the least favorable **execution price**. Peterson and Fialkowski (1994) conclude that the **execution price** of an order depends on location. When they study NYSE securities executing on the NYSE...

...sells one share at the best quoted ask and bid.

However, since investors frequently receive **improved prices**, they can encounter spreads lower than the quoted spread measures. For situations such as these...the trade direction results in overstatement of the traded and effective spread measures.

6. Price improvement

Price improvement, which we define as the amount that the market maker/ specialist discounts the price...

...costs are lower on an auction market (CHX) than on a dealer market (Nasdaq). Order **execution costs**, as defined by the traded spread and the effective half-spread, on the CHX are...for their useful remarks.

References

Blume, M.E. and M.A. Goldstein, 1992. Differences in **execution prices** among the NYSE, the regionals and the NASD. Working Paper, Rodney White Center for Financial...

...45.

Huang, R. and H. Stoll, 1996. Dealer versus auction markets: A paired comparison of **execution costs** on NASDAQ and the NYSE, Journal of Financial Economics 41, 313-358.

Jones, C.M...

...on the Nasdaq and NYSE/Amex. Working paper, University of Georgia.

Kamara, A., 1995. Market **trading** structures and asset pricing: Evidence from the **Treasury** -bill markets, Review of **Financial** Studies 4, 357-375.

Lau, S.T., M.S. McCorry, T.H. McInish, and R...Stock Exchange for the 26 Thursdays from January 1995-June 29, 1995

We define price **improvement** as the trade **price** minus best bid for a buy transaction, and the best ask minus the trade price...

23/3,K/36 (Item 4 from file: 75)

DIALOG(R)File 75:TGG Management Contents(R)
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00182833 SUPPLIER NUMBER: 17473193 (USE FORMAT 7 FOR FULL TEXT)

Effective strategies turn policies, instruments into payoffs.

Gillman, Patricia N.; Crino, Bryan L.
Corporate Cashflow Magazine, v16, n9, p29(2)
Sept, 1995

ISSN: 1040-0311 LANGUAGE: English RECORD TYPE: Fulltext; Abstract
WORD COUNT: 1485 LINE COUNT: 00120

... in changing circumstances.

As hedgers gain experience, they find ways to use the markets to

improve the company's **cost** structure or risk profile. The EVP recently started using puts against fixations (the option, prior...

...style, where corporate performs treasury and accounting functions and leaves each division "to make and **sell** its **product**."

Of course, the corporate **treasury** regularly uses basic derivatives. At the operating level, corporate treasury functions as a support group...

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04537953

Corporate Treasury, Siemens the financial engineer

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INTERNATIONAL BOND ISSUES, South African rand, Transnet Ltd

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47659642

The Treasury securities market: Overview and recent developments

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0243311

* Comment: Measure Performance Like an Investor

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*** Bank Bond Spreads Widen To Highest Level Since Fall**

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**Text of SEC's Proposed Amendments to Rule 10b-10 and Proposed Rule 15c2-13
(PART TWO OF TWO)**

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Second Annual Directory of Human Resources Services, Products and Suppliers, January 1989. (directory)

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